

# COUNCIL ON GOVERNMENTAL RELATIONS

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May 20, 2011

## AGENDA

### MEETING OF THE COUNCIL ON GOVERNMENTAL RELATIONS

#### WASHINGTON MARRIOTT HOTEL

June 9 and 10, 2011

Planning information for the upcoming meeting of the Council on Governmental Relations was sent to the COGR Listserve in April 2011. Those planning to attend should make hotel reservations at the Washington Marriott Hotel at 1221 22<sup>nd</sup> Street, N.W., Washington, D.C. and should send registration notices along with registration fees to the COGR office. Those planning to attend will want to make their travel and hotel reservations. There is a block of rooms reserved for COGR participants. After this block fills, reservations will be accepted only on a space and rate available basis.

The hotel has established May 24, 2011 as the cut-off date for receipt of reservations. There may still be rooms available at the hotel, but these would not have the COGR rate. For reservations already made, changes should be made by telephone to the hotel (202-872-1500) and by FAX to the COGR office (202-289-6698). Cancellations must be received in writing, via fax or mail, no later than Thursday, June 2, 2011 to receive a refund of the registration fee.

#### Thursday, June 9, 2011

- 8:30 a.m. **Registration**
- 10:00 a.m. – 11:45 a.m. – **Thursday Morning Sessions** – There will be three concurrent sessions:
- **Grant Reimbursement and Cash Drawdowns: University Practices and Proposed Changes at the National Science Foundation** - The National Science Foundation (NSF) is planning on implementing a new grant reimbursement/cash drawdown system over the next year. At the same time, the Health Resources and Services Administration (HRSA), an operating division in the Department of Health and Human Services (HHS), implemented a change in its reimbursement practice – selected HRSA awards were “subaccounted” into the HHS Payment Management System, effectively requiring that cash drawdowns be executed on a grant-by-grant basis using the same interface that was used for cash drawdowns for ARRA awards. Inevitably, agency changes related to grant reimbursement/cash drawdown policies or practices could have a significant impact on University grant accounting and cash management business operations.

This session will include an overview of grant reimbursement/cash drawdown processes being used at several institutions. While the focus will be on current practices related to NSF and NIH awards, each presenter will address their broad institutional policies and practices related

to grant reimbursements and cash drawdowns. After the University perspectives are completed, Rick Noll – Chief, Cash Management Branch, Division of Financial Management – from the National Science Foundation, will provide an overview of the proposed new NSF grant reimbursement/cash drawdown system. We expect this will be an interactive session and that there will be time for open discussion and questions.

- **Still Confused About the New I129 Certification Requirement? Where Is the Export Control Reform Effort Going?** - COGR members continue to raise questions about the new I129 Form Deemed Export Certification Requirement. Also, the results of the Administration's export control reform initiative continue to be slowly rolled out. Kevin Wolf, Assistant Secretary of Commerce for Export Administration, addressed these matters in the panel at the COGR February meeting. However, Commerce representatives have offered to return for further discussion at our June meeting. Given the continued questions, we agreed that further discussion might be timely. The Commerce team will be led by Bernard Kritzer of the Commerce Bureau of Industry and Security, and include other Commerce representatives. This is your opportunity to have your questions asked and answered by those with direct responsibility for these matters.
- **NSF Implementation of RPPR & Other NSF News** - The discussion will cover two topics: the National Science Foundation's (NSF) preliminary plans for implementation of the Research Performance Progress Report (RPPR) format; and the recommendations from the National Science Board on the NSF Merit Review Criteria. With the goal to reduce the burden on investigators, NSF's plans include the pre-population of fields in the RPPR (submitted via Research.gov) and will incorporate several of the option fields available for agency reporting. We will be joined by Jean Feldman, Head of the NSF Policy Office, and Erika Rissi, Staff Associate for the Division of Institution and Award Support. Ms. Feldman will also provide a brief description of the anticipated changes to the NSF Proposal & Award Policies & Procedures Guide (PAPPG) and updates to the Federal-wide Research Terms & Conditions.

Joanne Tornow, Executive Officer of the NSF Directorate for Biological Sciences and, for our purposes, serving as the Executive Secretary to the NSB Task Force on Merit Review will return to present the outcome of the NSB Taskforce's examination of the Merit Review Criteria. The Task Force made recommendations to the NSB at its most recent, May meeting and the Board approved the release of the revised Merit Review Principles and Review Criteria for broader discussion inside and outside of NSF. We'll begin that discussion with Dr. Tornow and Kelvin Droegemeier, Vice President for Research at the University of Oklahoma, COGR Board member, and (to this purpose) member of the NSB.

- 12:00 Noon – 1:00 p.m. – **Buffet Lunch**
- 1:00 p.m. – 2:00 p.m. – **Guest Speaker** – Dr. Bradley Fenwick, former Vice Chancellor for Research and Engagement at the University of Tennessee, and former Vice President for Research at Virginia Tech and President of Virginia Tech Intellectual Properties. Dr. Fenwick is leading a study to understand the current research management systems landscape. It is focused on how information is being used to inform strategic decision-making at a variety of levels and on how research development and program management can be improved. It is not a system or solution-specific study, but rather seeks to develop an understanding of institutional needs, especially with relation to information intelligence independent of specific disciplines or

institutional type. Dr. Fenwick will explain the study in more detail and provide an opportunity for feedback from the COGR membership.

- 2:00 p.m. – 2:15 p.m. - **Break**
- 2:15 p.m. – 3:45 p.m. – **Enhancing University Efforts to Achieve Economic Growth: Implications of the NACIE Commitments** - As reported in the COGR Spring Update, a group of 137 university presidents and chancellors, including those from many COGR member institutions, signed a letter last month to Secretary of Commerce Gary Locke pledging to make specific, expanded efforts to advance regional and national economic growth. The letter was spearheaded by the Secretary's National Advisory Council on Innovation and Entrepreneurship (NACIE). In the letter commitments were made in a number of general areas, including promotion of student and faculty innovation, actively supporting the university technology transfer function, facilitating university-industry collaboration, and engaging with regional and local economic development efforts. The implications of these commitments, and related actions by the Administration and federal agencies, will be discussed in this session. The panel will include Phillip Singerman, Associate Director for Innovation and Industry Services, NIST; Charles Louis, Vice Chancellor for Research, UC Riverside; and Tim Franklin, Director, Office of Public Partnerships and Engagement, The Pennsylvania State University. The panel will be moderated by Marvin Parnes, Vice President, Research and Executive Director, Research Administration, University of Michigan and former COGR Board Chair. This Administration is committed to enhancing innovation and greater commercialization of university research, and identifying and implementing effective university responses is critical to achieving these expectations. In view of current and pending government initiatives, this should be a very timely discussion.
- 3:45 p.m. – 4:00 p.m. – **Break**
- 4:00 p.m. – 5:30 p.m. - **NIH Revised Objectivity in Research/Financial Conflicts of Interest (if final) - Alternate Topic: NIH Biomedical Workforce Development** - If the final Public Health Service/National Institutes of Health (NIH) Objectivity in Research (Individual Financial Conflicts of Interest - FCOI) policy is released by June 9, 2011, Sally Rockey, NIH Deputy Director for Extramural Research will describe the changes in the policy and respond to questions from the meeting participants. If the FCOI policy has not been issued, Dr. Rockey will describe the many ways in which NIH contributes to strengthening the biomedical workforce. She will introduce the activities of the Biomedical Workforce Taskforce of the Advisory Committee to the Director of NIH including the planned development of a model to assist in assessing and, using the data, building a sustainable and diverse U.S. biomedical research workforce across economic sectors – the academy, industry, policy, etc. She will describe the results of the recent study by the NIH Office of Research on Women's Health concerning women's success in biomedical careers and introduce her on-going blog-based assessment of the patterns of NIH support.
- 5:30 p.m. – 6:30 p.m. - **Reception**
- 6:30 p.m. - **Dinner**

**Friday, June 10, 2011**

- 7:30 a.m. – 8:30 a.m. - **Buffet Breakfast**
- 8:30 a.m. – 9:00 a.m. - **Association Update**
- 9:00 a.m. – 10:15 a.m. - **COGR Committee Reports**

**Contract and Intellectual Property  
Costing Policies  
Research Compliance and Administration**

- 10:15 a.m. – 10:30 a.m. - **Break**
- 10:30 – Noon – **Continue Committee Reports** – Discussion of questions submitted by the membership (**Members are encouraged to submit advance questions and issues for Friday morning discussion to [ataylor@cogr.edu](mailto:ataylor@cogr.edu)**)
- 12:00 Noon – **Adjournment**

Attendance at COGR meetings is limited to employees of member universities, their governing boards and research foundations, affiliated hospitals and affiliated research institutes.