

# COUNCIL ON GOVERNMENTAL RELATIONS

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January 26, 2010

## AGENDA

### MEETING OF THE COUNCIL ON GOVERNMENTAL RELATIONS

#### WASHINGTON MARRIOTT HOTEL

February 18 and 19, 2010

Planning information for the upcoming meeting of the Council on Governmental Relations was sent to the COGR Listserve in December 2009. Those planning to attend should make hotel reservations at the Washington Marriott Hotel at 1221 22<sup>nd</sup> Street, N.W., Washington, D.C. and should send registration notices along with registration fees to the COGR office. Those planning to attend will want to make their travel and hotel reservations. There is a block of rooms reserved for COGR participants. After this block fills, reservations will be accepted only on a space and rate available basis.

The hotel has established February 2, 2010 as the cut-off date for receipt of reservations. There may still be rooms available at the hotel, but these would not have the COGR rate. For reservations already made, changes should be made by telephone to the hotel (202-872-1500) and by FAX to the COGR office (202-289-6698). Cancellations must be received in writing, via fax or mail, no later than Thursday, February 11, 2010 to receive a refund of the registration fee.

#### Thursday, February 18, 2010

- 8:30 a.m. **Registration**
- 10:00 a.m. – 11:45 a.m. – **Thursday Morning Sessions** – There will be two concurrent sessions:
- **Responsible Conduct of Research: NSF and NIH Requirements** - With proposals submitted on/after January 4, 2010, the National Science Foundation (NSF) implemented its requirement for an institutional plan to provide appropriate training in the responsible and ethical conduct research (RCR) for students and postdoctoral fellows. In a notice released November 24, 2009, the National Institutes of Health (NIH) that updated its Requirement for Instruction in the Responsible Conduct of Research for trainees. NSF's new policy and NIH's updates have sent institutions back to their training programs to ensure the institution has a plan and is meeting the requirements for training and reporting and documentation as required.

This discussion will focus on the policies and an exploration of what's new and, with the help of participants, what institutions are doing, or doing differently, to meet the requirements. We will be joined by Jean Feldman, head of the NSF Policy Office, and Rodney Ulane, Director of NIH's Office of Extramural Programs' Division of Scientific Programs, who will help us understand the policies and agency expectations in meeting the requirements.

If NIH issues a proposed rule concerning financial conflicts of interest before the February meeting, we will have a discussion of that proposal as well.

- **Effort Reporting** - Effort Reporting has become the foreboding catch-all term that covers a wide range of topics associated with payroll distribution, institutional compensation policies, federal salary-charging compliance, to name a few. This session will include presentations and discussions on a number of effort reporting issues, such as: IBS/Full Workload consistency, Research Faculty and 100% personnel, Summary Salary and the NSF 2/9ths rule, Supplemental Compensation, VA-University joint appointments, and other areas of interest. As appropriate, we will also address the status of Federal IG audit activity and DOJ settlements.

Kim Moreland, Director of Research and Sponsored Programs from the University of Wisconsin, Jim Luther, Assistant Vice President and Research Costing Compliance Officer from Duke University, and Michael J. Vernick, Partner at Hogan & Hartson, LLP, will serve as the panelists for this session. Each will make a presentation and we will have significant time allotted to Q&A and open discussion.

- 12:00 Noon – 1:00 p.m. – **Buffet Lunch**
- 1:00 p.m. – 2:00 p.m. – **Guest Speaker – Dr. Diane DiEuliis, Assistant Director for Life Sciences** at the Office of Science and Technology Policy. Diane will talk about the President's FY 2011 Budget and Priorities in Science and Technology, developments on New Research Business Models, the Science of Science Policy and Public Access to research results.
- 2:00 p.m. – 2:15 p.m. - **Break**
- 2:15 p.m. – 3:45 p.m. – **Managing ARRA- One Year Later** – At the February 19-20, 2009 COGR Meeting, ARRA was two days old. One year later, we are experts. This session will cover a past, present, and future focus on ARRA. Some topics that may be addressed include: How did we ramp up for the huge influx of grants, both administratively and lab space-wise? How did we enforce compliance and educate PIs? How did we manage technology, central administrative functions, and departmental administrative functions? How did we allocate lab space and what issues, if any, did we have to confront in terms of space management? And while a future focus is speculative at best, several compelling questions include: Is the administrative effort sustainable for the longer term? How can we avert a potential “falling off the cliff” after ARRA funding ends?

Pamela Caudill, Associate Vice President for Research Services from the University of Pennsylvania and Jordan L. Cohen, Ph.D., Interim Vice President for Research and Economic Development from the University of Iowa will offer university perspectives. The session will begin with a short overview of where we have been with ARRA and will follow with the university perspectives and Q&A.

- 3:45 p.m. – 4:00 p.m. – **Break**
- 4:00 p.m. – 5:30 p.m. – **Who Should Manage Faculty Inventions: The Kauffman Foundation “Free Choice” Proposal?** - The Kauffman Foundation recently proposed that any faculty inventor should be free to choose his or her agent to license the technology, whether

affiliated with his/her university or not. This proposal was named one of the 10 “Breakthrough Ideas” for 2010 in the January - February Harvard Business Review. It also has received some attention from officials at the Department of Commerce. For federally-funded inventions this may require amending either the Bayh-Dole Act or its implementing regulations. According to Kauffman, a free and competitive market in technology licensing would dramatically speed up the commercialization of new university technologies. It also would break the current monopoly of university Technology Transfer Offices (TTOs) over inventions of faculty at the university. In Kauffman’s view TTOs have become a major impediment to commercialization.

A panel will discuss the pros and cons of this proposal. Participants include Lesa Mitchell, Vice President for Advancing Innovation at the Kauffman Foundation; Frank Douglas, President and CEO of the Austen Bioinnovation Institute in Akron, Ohio; Keith McDowell, Vice Chancellor for Research and Technology Transfer at the University of Texas System and Chip Petricoin, co-director of the George Mason University Center for Applied Proteomics and Molecular Medicine. Charles Louis, Vice Chancellor for Research at University of California, Riverside will moderate.

- 5:30 p.m. – 6:30 p.m. - **Reception**
- 6:30 p.m. - **Dinner**

#### **Friday, February 19, 2010**

- 7:30 a.m. – 8:30 - **Buffet Breakfast**
- 8:30 a.m. – 9:15 a.m. – **Association Update – Bob Samors, Associate Vice President for Innovation and Technology Policy at APLU**, will update the membership on their activities, including a workshop on February 25 to identify new performance metrics of university contributions to regional innovation and economic growth. The workshop is hosted by APLU with support from the National Science Foundation’s Science Resources Statistics Division.
- 9:15 a.m. – 10:15 a.m. - **COGR Committee Reports**

#### **Costing Policies Research Compliance and Administration Contract and Intellectual Property**

- 10:15 a.m. – 10:30 a.m. - **Break**
- 10:30 – Noon – **Continue Committee Reports** – Discussion of questions submitted by the membership (**Members are encouraged to submit advance questions and issues for Friday morning discussion to [ataylor@cogr.edu](mailto:ataylor@cogr.edu)**)
- 12:00 Noon – **Adjournment**

Attendance at COGR meetings is limited to employees of member universities, their governing boards and research foundations, affiliated hospitals and affiliated research institutes.