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June 2013 COGR Meeting Agenda

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COUNCIL ON GOVERNMENTAL RELATIONS

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May 17, 2013

AGENDA

MEETING OF THE COUNCIL ON GOVERNMENTAL RELATIONS

WASHINGTON MARRIOTT HOTEL

June 6 and 7, 2013

Planning information for the upcoming meeting of the Council on Governmental Relations was sent to the COGR Listserve in April 2013. Those planning to attend should make hotel reservations at the Washington Marriott Hotel at 1221 22nd Street, N.W., Washington, D.C. and should send registration notices along with registration fees to the COGR office. Those planning to attend will want to make their travel and hotel reservations. There is a block of rooms reserved for COGR participants. After this block fills, reservations will be accepted only on a space and rate available basis.

The hotel has established Tuesday; May 21, 2013 as the cut-off date for receipt of reservations. There may still be rooms available at the hotel, but these would not have the COGR rate. For reservations already made, changes should be made by telephone to the hotel (202-872-1500) and by FAX to the COGR office (202-289-6698). Cancellations must be received in writing, via fax or mail, no later than Thursday, May 30, 2013 to receive a refund of the registration fee.

Thursday, June 6, 2013

- 8:30 a.m. **Registration**
- 10:00 a.m. – 11:45 a.m. – **Thursday Morning Sessions** – There will be three concurrent sessions:
- **Administration of Service Centers and Federal Guidance** - The FAQs for Costing of NIH-Funded Core Facilities were released on April 8, 2013 (NOT-OD-13-053). COGR worked with NIH as they developed the FAQs. One issue we raised was that the FAQs needed to be scoped properly so that they would not inappropriately address broad institutional service center policies. NIH eliminated many of the broad references that were included in their early drafts, and COGR believes that the final version of the FAQs adequately addressed NIH-Funded Core Facilities, only.

However, the discussions with NIH highlighted the fact that there is little federal guidance related to Service Centers. The current OMB Circular A-21 addresses Specialized Service Facilities, but there is no mention of Service Centers or Recharge Centers. And while COGR will propose that selected issues related to Service Centers be addressed in the final OMB guidance, our recommendations will not include any prescriptive suggestions or specific rules on how to manage Service Centers.

This session will address these topics:

- 1) Recap of the COGR Response to OMB, as applicable to Service Centers;
- 2) Recap of the NIH FAQs and their impact, if any, on how we manage NIH Core Facilities;
- 3) Service Center challenges at our institutions; and
- 4) Good Service Center practices at our institutions.

COGR will utilize ideas from this session to help formulate how best to engage federal officials on this topic. And if pertinent, we will use input from this session to determine if good practices can be documented in a manner that would be beneficial to research institutions.

- **Investigator Administrative Workload: The National Science Board Review** - The National Science Board's (NSB) Task Force on Administrative Burdens is examining the burden of Federal regulations (and institutional implementation of those regulations) on investigators supported by Federal sponsors. The Task Force posted a survey to gather investigator's ideas and held a series of three roundtable discussions throughout late April and early May to give the research community an additional opportunity to offer comments and recommendations for changes across the Federal agencies and at NSF. The Task Force's information gathering phase is almost complete (the deadline for responses to the survey has been extended to June 7 – encourage your investigators to participate at: http://www.nsf.gov/nsb/committees/ab/tskforce_ab_rfi.jsp) but COGR members have one final opportunity to discuss investigator administrative burdens.

COGR members will be joined by Jeremy Leffler, Executive Secretary to the Task Force and Outreach Specialist in the NSF Policy Office, and his NSB colleagues, Lisa Nichols and John Veysey, for a discussion of the Task Force's goals and preliminary assessment. Mr. Leffler will describe the work of the Task Force to date, the preliminary results of the responses to the survey as well as the topics that have come up at the various roundtable discussions. We will have an opportunity to bring the institutional perspective to the investigator's administrative workload concerns and provide the Task Force with a context for the investigator's role in the research enterprise on campus. We encourage you to review the survey questions (at the link provided above) and consider what you think might be your investigators' responses and the recommendations you would make to the NSB for helping reduce the workload.

- **“What Challenges Are You Facing in Export Control Compliance at Your Institution?”** - Export control compliance continues to be a challenge for many institutions. What issues are you facing, what is working and not working, and what will the current reform initiative mean for you? Patrick Schlesinger, Assistant Vice Chancellor for Research Administration and Compliance at UC Berkeley, will facilitate a discussion of these issues. Other participants will include those with export control compliance responsibilities at their institutions. The recent conference hosted by U. Penn. on The Impact of Export Controls on Higher Education also will be discussed, and any lessons learned.
- 12:00 Noon – 1:00 p.m. – **Buffet Lunch**

- 1:00 p.m. – 2:00 p.m. – **Guest Speaker** – Dennis Gephart, Vice President, Public Finance Group and Eva Bogaty, Assistant Vice President, Public Finance Group, Moody’s Investment Service will be our guest speakers. Moody’s publishes analyses of the financial outlook for various sectors of the economy, and its recent 2013 report on the outlook for higher education moved from stable to negative, citing mounting pressure on all key university revenue sources, including research. Dennis and Eva will discuss the factors that led to this assessment, the details of their analysis, and what actions Moody’s recommends for universities to address this financial dilemma.
- 2:00 p.m. – 2:15 p.m. – **Break**
- 2:15 p.m. – 3:45 p.m. – **Open Access or “Open and Machine Readable [is] the New Default for Government Information.”** - On April 13, 2013, John Holdren, Director of the Office of Science and Technology Policy (OSTP) and Assistant to the President for Science and Technology, directed the Federal research and development agencies to develop plans to support increased public access to the results of Federally supported research – publications and digital data. On May 9, 2013, President Obama issued Executive Order 13642, *Making Open and Machine Readable the New Default for Government Information*. The increasing calls for greater public access to information will present real administrative challenges for the Federal agencies, the institutional grantees and investigators and affect our relationships with publishers, non-government research sponsors, and the public as well.

Alan I. Leshner, Chief Executive Officer of the American Association for the Advancement of Science (AAAS) and Executive Publisher of *Science*, Amy Friedlander, Senior Advisor at the National Science Foundation (NSF), and Michael Stebbins (invited), Assistant Director for Biotechnology at OSTP will join us to examine the OSTP directive, offer one agency’s perspective on implementation, and the implications for publishers, scientists and universities in meeting the expectations for public access.

- 3:45 p.m. – 4:00 p.m. – **Break**
- 4:00 p.m. – 5:30 p.m. – **“Export Controls; Where Are We, How Did We Get Here, and Where Are We Going?”** - Over the past 12 years a number of sessions at COGR meetings have focused on export controls and the compliance implications for universities. The current Export Control Reform Initiative has heightened attention to these issues and the Administration’s plans for a new export control system better suited to the challenges of the 21st century. Yet past is often prologue, and how and why the current system has developed as it has may be important for a better understanding of current compliance and future prospects and directions. A panel including Bill Reinsch, President of the National Foreign Trade Council (and former Undersecretary for Export Administration at the Department of Commerce); Clif Burns of Bryan Cave LLP and Export Law blogger; and a Department of Commerce representative will discuss these issues. The panel will be moderated by Patrick Schlesinger, Assistant Vice Chancellor for Research Administration and Compliance at UC Berkeley.
- 5:30 p.m. – 6:30 p.m. – **Reception**
- 6:30 p.m. - **Dinner**

Friday, June 7, 2013

- 7:30 a.m. – 8:30 a.m. - **Buffet Breakfast**
- 8:30 a.m. – 9:00 a.m. - **Association Update** – AAU Staff Discussion of Legislation Impacting Research
- 9:00 a.m. – 10:00 a.m. **COGR Committee Reports**

**Research Compliance and Administration
Contract and Intellectual Property
Costing Policies**

- 10:00 a.m. – 10:15 a.m. – **Break**
- 10:15 a.m. – 12:00 Noon – **COGR Committee Reports Continue**

(Members are encouraged to submit advance questions and issues for Friday morning discussion to ataylor@cogr.edu)

- 12:00 Noon – **Adjournment**

Attendance at COGR meetings is limited to employees of member universities, their governing boards and research foundations, affiliated hospitals and affiliated research institutes.