# University Efforts to Reduce Administrative Burden

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#### **About This Session**



Panelists will provide an update on COGR efforts to develop a checklist with strategies for assisting research institutions' efforts to reduce the administrative workload for investigators and administrative staff while maintaining necessary regulatory compliance.

#### **Panelists**



### Kerry Peluso

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Associate Vice Provost for Research & Senior Associate Dean – Stanford University

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Chief Research Compliance Officer – Harvard University



#### Introduction



Increasing regulatory and administrative burden on the research enterprise and potential approaches for federal agencies and universities to reduce the burden have been raised in a number of recent reports including:

- Research Universities and the Future of America: Ten Breakthrough Actions Vital to our Nation's Prosperity and Security (NRC, 2012)
- Faculty Workload Survey Research Report (FDP, 2012)
- Reducing Investigators' Administrative Workload for Federally Funded Research (NSB, 2014)
- Sustaining Discovery in Biological and Medical Sciences (FASEB, 2015)
- Optimizing the Nation's Investment in Academic Research- A New Regulatory Framework for the 21<sup>st</sup> Century, Part 1 (NRC, 2015)





## **Recommendations to Agencies**

The National Research Council Reports have specific recommendations for:

- Agencies to streamline and unify their regulatory requirements.
- Congress to establish mechanisms to reduce regulatory burden.

COGR has been, and continues to be, active in this process. A number of COGR recommendations are referenced in the NRC report.



## Recommendations to Institutions



The National Academies report, Optimizing the Nation's Investment in Academic Research, recommended that research institutions:

- Conduct a review of institutional policies developed to comply with federal regulation of research to determine whether the institution has created additional and unnecessary administrative burden.
- Revise institutional policies that go beyond those necessary and sufficient to comply with federal, state, and local requirements.







- COGR has reviewed the Federal Demonstration Partnership Faculty burden Survey to identify major areas of concern to faculty.
- With input from COGR Committee members we have compiled a partial list of actions that have been taken by member institutions to reduce administrative burden.







- Animal Research/IACUC
- Human Subjects Research/IRB
- Contract-Related Requirements
- Subcontracts
- Technology Transfer
- International Research
- General









- Administrative Support
- Conflict of Interest
- Electronic Systems/Forms
- Proposal or Report Preparation
- Personnel Management
- Financial Management
- Laboratory Safety
- Training



## Questions



- Are there any major categories missing?
- What is the best mechanism to solicit additional input on efforts underway at member institutions?
- How do we reach out to respective functional units for additional input?
- Should the list be updated on an annual or biennial basis?
- ▶ How can we help members with implementation?
- of changes?

