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October 2013 COGR Meeting Thursday Afternoon Presentation - Froyd

Author: Erica Froyd

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Government Relations for Research & Education

Association of Independent Research Institutes (AIRI) Perspective on OMB Grant Reform

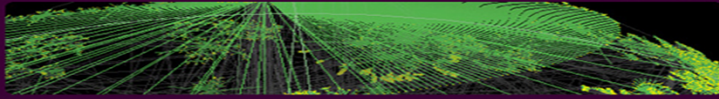
Council on Governmental Relations Meeting

Erica Froyd

Lewis-Burke Associates LLC

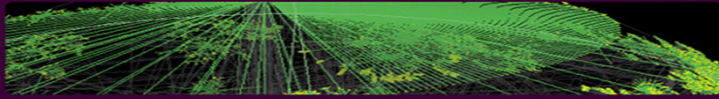
October 24, 2013

AIRI Background



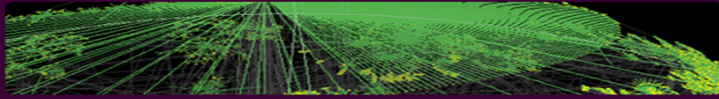
- Founded in 1961, more than 80 private, non-profit, independent research institutes (IRI) conducting biomedical and behavioral research
- IRIs complement university-based research; research-only missions and small size foster innovation and interdisciplinary collaboration
- IRIs receive approximately 9 percent of NIH extramural support; one-third AIRI members receive NSF funding; also funded by DOD, DOE, CDC, NASA, NOAA, ED, foundations, and corporations
- Members include: Fred Hutch, Salk, Cold Spring Harbor, Jackson Lab, smaller organ- and disease-specific institutes

AIRI FY 2012 NIH Statistics



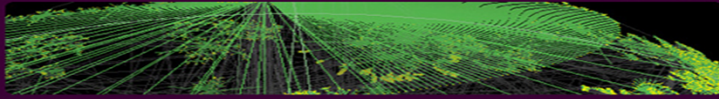
- IRIs received approximately 10 percent of NIH RPGs and more than 10 percent of research center awards
- 13.3 percent of NCI; 12.6 percent of NIA; 11.7 percent of NIAID
- Average research grant received by IRIs in FY 2012 was \$560K – second highest of all organization types
- IRIs' 18.1 percent success rate higher than 17.6 percent for all organizations

AIRI Cost Policy History



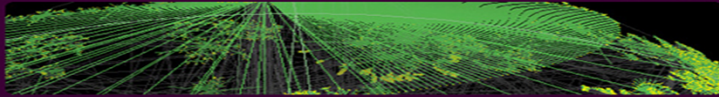
- IRIs governed by A-122; no cap on administrative costs; interest reimbursement on qualified capital debt secured in 1995
- Periodically fix misunderstandings of IRIs by federal agencies (confused with industry labs - NRC, EPA, DOD)
- AIRI works closely with COGR on many issues of mutual interest, including OMB grant reform, export control, core facilities, and interest swaps

Omnicircular: AIRI Key Issues



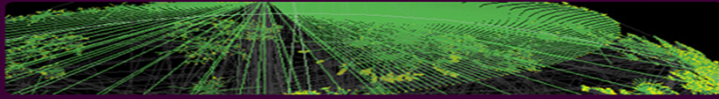
- Relationship of financial and performance reporting
- Depreciation on capital assets purchased with non-federal funds
- Subrecipient monitoring and management
- Participant support costs
- Procurement standards
- Trustee travel and subsistence expenses

Omnicircular: Other Issues

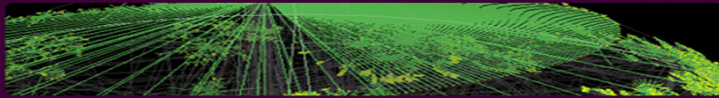


- Audit standards
- Effort reporting
- Effect of voluntary committed cost sharing on proposal review
- Four-year predetermined rates
- Depreciation on institutional contribution to equipment or renovation grants

Looking Ahead



- AIRI to closely examine omniscircular for effect (including unintended) on our members
- Work with COGR and others to ensure fair and transparent implementation by agencies
- Seek formal appeal process with OMB in event of agency misinterpretations
- Educate IRIs on effect of changes



Thank you!

www.airi.org

erica@lewis-burke.com

202-289-7475