Committee Reports & Hot Topics:

June 2023

PRESENTERS

Jeremy Forsberg, *University of Texas at Arlington*

Jeff Friedland, *University of Delaware*

Kris West, *COGR*

Jennifer Ponting, *University of Chicago*

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Costing and Financial Compliance (CFC) Committee
Committee Members

- Sarah Axelrod (Chair), Harvard University

- Jeremy Forsberg, University of Texas at Arlington

- MC Gaisbauer, University of California, San Francisco*

- Joseph Gindhart, Washington University at St. Louis*

- Michael Legrand, University of California, Davis*

- Nate Martinez-Wayman, Duke University*

- Julie Schwindt, University of South Alabama*

- Marcia Smith, University of California, Los Angeles*

- Maria Soliman, University of Iowa*

- Renotta Young, Columbia University*

- David Kennedy (COGR Director)

* At-large members

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Costing & Financial Compliance (CFC)

Modernize Cost Elements for the way Research is conducted.
    Library Costs for F&A

Recovery of Increased Research Costs – COGR Cost Surveys:
- Cost of Disclosure Requirements
- Data Management Sharing
- Cost of Research Security
- F&A Survey and Report Preparation

Capstone Report on Cost Impact to Research Enterprise, MSIs, and barriers to Participation
    Research Security / Compliance Pool / Library Modernization

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Costing & Financial Compliance (CFC)

NIH NOT: Subawards – Cost Considerations

2023 Compliance Supplement – Cash Management

NASA - T&C of Financial Testing Review

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Contracts & Grants Administration (CGA)
Committee Reports

- **Jeff Friedland, (Chair) University of Delaware**

- **Stephanie Endy, Brown University**

- **Michael Glasgow, University of Connecticut***

- **Walter Goldschmidts, (Chair) Cold Spring Harbor Laboratory***

- **Stephanie Gray, University of Florida***

- **Charles Greer, University of California, Riverside**

- **Vivian Holmes, Massachusetts Institute of Technology***

- **Lisa Mosley , Yale University**

- **Twila Reighley, Michigan State University**

- **Craig Reynolds, Van Andel Institute***

- **Jennifer Rodis, University of Wisconsin-Madison***

- **Pamela Webb, University of Minnesota***

- **Krystal Toups (COGR Director)**

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Contracts and Grants Administration Updates & Emerging Issues

- **OTA**
  - ARPA-H Meeting with CGA

- **Recent COGR Responses to Proposed Regulations/RFIs**
  - Response to NIH RFI on Re-envisioning U.S. Postdoctoral Research Training and Career Progression within the Biomedical Research Enterprise
  - Response to NIH RFI on the NIH Plan to Enhance Public Access to the Results of NIH-Supported Research
  - In Progress – Response to Agency Information Collection Activities: Comment Request; National Science Foundation Proposal/Award Information-NSF Proposal and Award Policies and Procedures Guide
  - In Progress – Response to Notice To Announce NIH Updated Policy Guidance for Subaward/Consortium Written Agreements

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Contracts and Grants Administration Priorities & Hot Topics

1) PAPPG 24-1

- Comments due 6/12/23, CGA WG

- CHIPS and Science Act of 2022

2) NOT-OD-23-133/Federal Register Notice NIH Updated Policy Guidance for Subaward/Consortium Written Agreements

- Comments due 7/5/23, Cross-Cutting WG

- Expands requirements for foreign subrecipients to provide copies of all lab notebooks, all data, and all documentation supporting research outcomes at least every <u>six</u> months.

3) Data Management and Sharing

- NIH on Single Line-Item Issue

- Readiness guidance (Chp. 6-11)

4) OMB Memorandum "No TikTok on Government Devices" Implementation Guidance

- FAR 52.204–27

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Research, Ethics & Compliance (REC)
Committee Members

- **Naomi Schrag, (Chair), Columbia University**

- **Lynette Arias, University of Washington**

- **Kristin Bittinger, Harvard University***

- **Theresa Colecchia, Johns Hopkins University**

- **Grace Fisher-Adams, California Institute of Technology***

- **Karen Hartman, Mayo Clinic***

- **J.R. Haywood, Michigan State University***

- **Jennifer Lassner, University of Iowa**

- **Deborah Motton, University of California**

- **Brian Smith, UC-San Francisco***

- **Geeta Swamy, Duke University***

- **Ara Tahmassian, Harvard University***

- **Debra Thurley, Pennsylvania State University***

- **Kristin West (COGR Director)**

* At-large members

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Research Ethics & Compliance

KEY UPDATES:

- **June 7 NSF Listening Session with on COGR on Proposed Risk Assessment Center**
  - Comments and listening session have an impact!
  - NSF is thinking through the tools that will be available, we should plan to trust but verify
  - Not required to use the resource, but NSF wants it to be useful to us
- **NSF Guidelines for Research Security Analytics** – Published June 8.
  - COGR Letter

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From NSF Rules of the Road

This report builds on extensive feedback from community stakeholders and establishes key principles for NSF's research security analytics activities:

*1. Program staff are **not permitted to use research security concerns as a determining factor** in the merit review process.*

2. All research security analytics activities at NSF will be conducted solely by the Office of the Chief of Research Security Strategy and Policy, or OCRSSP. That office will reach out to the institution RSO with questions.

[NOTE: No program officer queries to PI's about inconsistencies.]

3. Program staff are not permitted to conduct intentional information querying activities related to research security. Concerns encountered during routine merit review activities (see "routine assessment" in definitions) are to be reported to OCRSSP.

Research Ethics & Compliance

KEY UPDATES:

- **Chinese Laws Affecting Conduct of Research in China**
  - Presentation at June 7th REC Meeting

- **Conflicts of Interest**
  - **Analyzing Personal Financial and Institutional Conflicts of Interest in Academic Research Contexts**
  - NASA Proposed COI/COC Policy – COGR Comment Letter . NASA considering comments and has indicated it will separate COI and COC policy and consider patterning policy after other agencies' policies (e.g., NIH, NSF).

Current REC Projects

- **Reduction of administrative burden in animal research**
  - OLAW has issued on June 2 NOT-OD-23-129: Guidance on Flexibilities for Conducting Semiannual Program Review (nih.gov). This guidance reflected COGR comments favorably.
  - COGR Response to RFI on Update to NOT-OD-05-034 Guidance on Prompt Reporting of Noncompliances to OLAW
  - Survey on OLAW/USDA efforts to reduce administrative burden
  - Request for Information (RFI) on Clarification of Animal Activities Exempt from the PHS Policy Requirements for IACUC Review (comments due July 31, 2023)
- **NSPM-33 Draft Research Security Program Standards**
  - COGR Comment letter
  - Upcoming survey on costs associated with implementation of these standards

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Future REC Projects

- **Future Projects Under Discussion**
  - Framework for analyzing common academic activities (e.g., sabbaticals, collaborations) under research security requirements
  - Tools/strategies to support research programs' response to new technology being used in research (e.g., AI)—particularly in human subject development of algorithms using medical data
  - Roadblocks to research – identifying areas in federal human subjects, animal, and research integrity regulations that make it difficult for emerging institutions to "get in the game" along with potential solutions

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Research Security & Intellectual Property (RSIP) Committee Members

- **Jennifer Ponting (Chair), University of Chicago**

- **Alexandra Albinak, Johns Hopkins University***

- **Hannah Carbone, California Institute of Technology***

- **Allen DiPalma, University of Pittsburgh**

- **Michael Moore, Augusta University***

- **Bruce Morgan, University of California, Irvine***

- **Dan Nordquist, Washington State University***

- **Elizabeth Peloso, University of Pennsylvania***

- **Sophia Herbert-Peterson, Georgia Institute of Technology**

- **Kenneth Porter, University of Maryland***

- **John Ritter, Princeton University***

- **Todd Sherer, Emory University***

- **Robert Hardy (COGR Director)**

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Research Security & Intellectual Property (RSIP)

- NSF Listening Session

- Department of Defense Session with Issac Natter

- NSPM-33 Research Security Program Standards Comment Letter was submitted by COGR on May 30th to the Federal Register RFI

- Research Security Programs Standard Requirement Listening Session Two: Working with the Higher Education Community toward NSPM-33 Implementation
    - https://ida-org.zoomgov.com/meeting/register/vJIscu2trTMoEglyUETVeB7tTiuvhb9qbYc

- Comments were submitted with ACE on the Section 117 ICR
    - https://www.acenet.edu/Documents/Comments_ED_Section_117_ICR_060523.pdf

- Comments are being drafted in response to the ICR for iEdison

- Anticipating response on Bayh-Dole March-in from an interagency working group that was convened in March.

Hot Topics in Cybersecurity

COGR Staff Points of Contact

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