

Activities

The following questions relate to your role(s) at the University of Louisville.

Please only include those external activities that are related to your role(s) at the University of Louisville or those external entities that conduct transactions with the University of Louisville or one of its associated organizations (ULAA, ULRF).

Questions in this section involve funds that originate from non-US (foreign) sources. Any items involving domestic (US based) funds, should be disclosed in the previous section.

Appointments/Affiliations with international entities or governments

Do you hold/maintain any affiliations or appointments (honorary, visiting, adjunct, full-time, part-time, voluntary, etc) with any foreign (non-US) entity, organization or government, including talent programs? This includes any affiliations for which you receive no compensation. If you have any affiliations to foreign military organizations, please disclose that here.

Yes No

For each such relationship, please provide the following information

Entry 1

Name and country of Institution/Organization/Entity

Type of Appointment

--none-- ▼

Official title at foreign institution/organization

How long have you held this appointment (years)? Please include years.

How many person months annually are spent on site at the foreign institution/organization?

Do you supervise/mentor graduate students/trainees at the entity?

Yes No

Do you supervise research staff at the entity?

Yes No

Do you receive funding from the entity to support your research/scholarly activity?

Yes No

Are you or a relative compensated by the entity for your activities? (Compensation includes salary, room and board, travel, etc.)

Yes No

Do you include this affiliation on scholarly publications?

Yes No

Are UofL resources (facilities,

equipment, personnel) used in order to fulfill obligations to the foreign institution/organization?

Yes No

Additional Comments/Explanation

Collaborations (including those anticipated to result in co-authorship)

Do you have/maintain any relationships with non-US collaborators?

Yes No

Did any of these collaborations result in co-authorship?

Yes No

For each such collaboration, please provide the following:

Collaborator's Name and Home Institution	Country of Organization/Institution	Co-Authorship Status	Associated Awards (list speedtypes), if applicable
No records have been added			

Equity Holdings and External Financial Interests

Do you, your spouse or someone for which you are legally responsible hold equity interests in a foreign (non-US based)? The following are exempt from disclosure: mutual funds, retirement funds, and stock holding not related to institutional role(s).

Yes No

Please check all that apply. If other is selected, please provide details in last section.

Entry 1

Name of entity

Interest Type

Please check all that apply. If other is selected, please provide details in last section.

- Stock Ownership, Stock Options (vested or nonvested)
- Warrants in Entity (vested or nonvested)
- Have right to deferred compensation from entity
- Receive royalties from entity (either via UofL, another entity or directly)
- Receive support from entity for Investigator initiated protocols
- Intellectual Property rights licensed to entity
- Intellectual Property rights optioned to entity
- Other

Actual value of compensation received in last 12

--none--

months (use whole dollar)

Approximate value of compensation in upcoming 12 months (estimate whole dollar)

--none--

Additional Details/Comments

External Professional/Research Activity

Do you engage in (or expect to engage in the next 12 months) external professional/research activity with or at a non-US institution or organization? This could include, but is not limited to: consulting, speaking, teaching, collaborations, etc.)

Yes No

Name of Organization/Institution	Country of Organization/Institution	Person Months per Year	In-kind value or Dollar Amount	Activity type (speaking, consulting, collaborations, etc)
No records have been added				

Grants, Contracts, Cooperative agreements, Service Agreements or other funds

Do you receive/participate (or expect to receive/participate in the next 12 months) on any contracts, grants, cooperative agreements, service agreements, or other projects related to your university role(s) that are funded by a foreign source? If yes, **even if contract is administered by UofL**, you are requested to disclose those here.

Foreign Source includes:

- a contract with a US company that you believe may be a subsidiary or owned by a foreign source;
- a foreign government, including an agency of a foreign government;
- a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states;
- an individual who is not a citizen or a national of the United States or a trust territory or protectorate thereof; and
- an agent, including a subsidiary or affiliate of a foreign legal entity, acting on behalf of a foreign source.

Contract - any agreement for the acquisition by purchase, lease, or barter of property or services by the foreign source, for the direct benefit or use of either of the parties.

Restricted or conditional contract - any grant, contract, award, agreement, or property of any kind that includes provisions regarding:


- employment, assignment, or termination of faculty;
- establishment of departments, centers, research or lecture programs, or new faculty positions;
- selection or admission of students; or
- award of grants, loans, scholarships, fellowships, or other forms of financial aid restricted to students of a specified country, religion, sex, ethnic origin, or political opinion.


Yes No

For each such grant, contract, cooperative agreement, service agreement or other fund, please provide the following information. Do not combine contracts in a single entry.

Entry 1

Project Number and Title

Dates of Project Start Date: 

End Date: 

Person Months per Year

Source of Support (PI: Name)

Country of Source of Support

Recipient of Support

Country of Recipient of Support

Annual Direct Costs (In US Dollars)

Total Award Amount (In US Dollars)

Major Goals of Project/Brief Scope of Work:

Description of how contract/agreement is utilized in your university role(s)

Gifts

Have you received any gifts, from non-US sources, that are being used to directly cover/support expenses related to your UofL role(s) and responsibilities?

Yes No

For each such gift received, please provide the following information.

Type of Gift	Person/Entity providing Gift and Country of Origin	Approximate value of Gift	Description of how it is utilized in your research endeavors
No records have been added			

In-kind or Donated Resources (Lab or Office Space, Equipment, Scientific Supplies)

Do you receive (or expect to receive in the next 12 months) in-kind or donated resources (lab or office space, equipment or scientific supplies) from a non-US source to support your role(s) at UofL?

Yes No

For each such in-kind support/donation, please provide the following information. Each in-kind support/donation should be entered on a separate row.

Name of Organization/Institution Providing In-Kind Support	Country of Organization/Institution	Name of In-Kind Support (if applicable)	In-kind or Dollar amount	Description of In-Kind Support
No records have been added				

Scientific Materials not freely available to others

Do you receive any scientific materials from a non-US source, for which no sponsored program/grant funds are spent that are **not freely available to others**?

A common example of this would be the provision, by a foreign collaborator, of study animals biologics, chemicals, model systems, technology etc. that are not available to other researchers on the same basis as received by senior/key personnel.

Yes No

For each such resource, please provide the following:

Name of Institution and Individual providing materials	Country of Institution/Individual	Description of Materials	Approximate value of Materials	Research endeavors benefiting from the materials (list speedtypes)
No records have been added				

Visiting faculty/scholars/scientists/postdocs/students (undergraduate and graduate)

Do you have any visiting faculty/scholars/scientists/post docs/students(undergraduate or graduate), directly supported (fully or partially) from non-US sources (including those who identify as "self-funded"), who are participating in your research endeavors?

Yes No

For research endeavors benefiting from the work of visiting faculty/scholars/scientists/post docs/graduate students, please provide the following information. List each project in a separate row. Please note: as the sponsor, please ensure all visiting participants have a current ADF on file during their visit.

Sponsored Program Speedtype	Number of Visiting Faculty	Number of Visiting Scholars	Number of Visiting Scientists	Number of Visiting Post Docs/ Graduate Students	Number of Visiting Undergraduate/Graduate Students	Countries of Sources of Support
No records have been added						

Are you a foreign visiting faculty/scholar/scientist/postdoc/graduate student that is fully or partially funded by your home institution?

Yes No

Please provide the following information. If you are working with more than one UofL researcher, please add new entries for each faculty sponsor.

Entry 1

Home Institution/Organization/Entity

Name of UofL faculty sponsor

Length of visit with UofL (include begin and end dates)

Source of Compensation receive from Home Institution/Organization/Entity (check all that apply - including salary, room and board, travel, etc.)

Wages and/or benefits
 Travel
 Room and Board
 Research Expenses
 Other

Will you be a co-author on any manuscripts resulting from your work at UofL? Yes No

Description of research activities while at UofL

Additional Comments

Additional International Activities

Will you, your spouse or someone for which your are legally responsible perform an outside activity (paid or unpaid) in excess of your assigned UofL responsibilities (e.g. serving as a consultant or contractor, board member, company officer, etc.) that you had not already reported in this section?

Some examples include:

- Appointments or positions at entities outside of the United States (private businesses, non-US government entities or agencies, a combination of government and private businesses, hospitals, or other foreign groups)
- Receipt of any awards, incentives, gifts, or money from any entity or agency outside of the US. An incentive could be offered by a government or another entity. It could include funds or other material reward to you and/or your relatives that you may keep. Incentives also could include funds given to you to support your work, your research, your living expenses, living expenses for your relatives, or to pay for your relocation expenses. It also could be an award or other promotion including, but not limited to, so-called "talent program" awards which may promise some financial, teaching or research position or role, or other benefit.
- Promise of benefit in exchange for your work within the US from a non-US entity or agency. This could include private businesses, non-US government entities or agencies, a combination of government and private businesses, hospitals, or other foreign groups.

Yes No

Please provide additional detail below

General Data Protection

The following questions relate to the countries listed below

Austria	Finland	Lithuania	Slovenia
Belgium	France	Luxembourg	Spain
Bulgaria	Germany	Malta	Sweden
Croatia	Greece	The Netherlands	United Kingdom
Cyprus	Hungary	Poland	Iceland
Czech Republic	Ireland	Portugal	Liechtenstein
Denmark	Italy	Romania	Norway
Estonia	Latvia	Slovakia	

Are you physically present in one of the above countries at the time of completing this form?

Yes No

Your current physical location is in which of the above countries?

Do you hold primary or dual citizenship in any of the above named countries?

Yes No

In which of the above countries do you hold citizenship?

Supplemental Disclosures

Do you have Institutional Responsibility to make Institutional resource decisions that also involve an *External Entity in which you, your spouse, your dependent child , or other person for which you are legally responsible hold an *Interest?

Yes No

For each Interest you, your spouse, dependent child or other persons for which you are legally responsible, hold with an External Entity, please provide the following. Each field marked with an asterisk is required.