



Association of  
Research Integrity Officers

*2016 ARIO conference update*

# Where have we been?

- Grassroots group of US institutional RIOs, general counsels, and others who work to support research integrity efforts, working together to create networks, share best practices and provide educational opportunities for those handling research misconduct cases
- Unofficially “germinated” in 2013 by small group of RIOs, RIO support staff and counsel
- Incorporated in 2016



# What have we done?

## Annual meetings:

- Inaugural meeting, September 2013 at Johns Hopkins University, approximately 70 attendees
- 2<sup>nd</sup> annual meeting, September 2014, Northwestern University, approximately 95 attendees, including international representatives from ENRIO
  - <http://www.research.northwestern.edu/ori/ario/index.html>
- Denver, CO 3<sup>rd</sup> annual meeting hosted jointly by Colorado State, University of Colorado and University of Wyoming with approximately 97 attendees
  - <http://ario.colostate.edu/>
- 4<sup>th</sup> annual meeting at Memorial Sloan Kettering, September 2016
- 5<sup>th</sup> annual meeting in California hosted by UCSD



# What have we done?

- Regional groups formed across the US and are facilitating regional events and networking
  - Mid-American and Mid-Atlantic regions both host monthly conference calls
  - Mid-American region creating Wikispace for sharing
  - Northwestern University hosted one day assessment workshop in May 2016
- Currently ARIO has over 450 email contacts for interested RIOs and general counsel representing over 275 institutions across the US and it continues to grow



# ARIO Incorporated

## Formation of nonprofit, “nonmember,” 501(c)(3) tax-exempt organization (being applied for)

- Eligible organizations and individuals can participate in ARIO’s activities
- 7-person board: initially 6 members of the Steering Committee, plus 1
- Directors serve staggered 3-year terms and can serve no more than two consecutive full terms
- Board elects successors based on nominations by Nominating Committee
- Most standing committees incorporate participation from persons not on the Board, e.g.,
  - Nominating Committee-- 5 Directors and 2 other individuals
  - Education and Program Committee-- at least 1 Director, 1 person who planned previous conference, 5-7 planners for upcoming conference including someone from host institution
  - Communications Committee--1 Director and 5-7 others, reflecting geographic regions



# Who can join?

## Eligible organizations and individuals

- Presumed eligibility for certain types of US entities that receive federal research funding: e.g., higher ed, clinical affiliates of academic centers and research hospitals
- Case by case review and Board approval required for participation by other types of entities; they must conduct research and have an infrastructure to address research misconduct
- Limited *ad hoc* participation, if Board approves “special purpose” involvement of persons who are not significantly engaged RIO-type efforts



# Board of Directors and Officers

- *President:* Sheila Rose Garrity  
George Washington University
- *Vice President:* Lauran Qualkenbush  
Northwestern University
- *Treasurer:* Diane Rosecrans Wender  
University of Pennsylvania
- *Assistant Treasurer:* Anne Ackenhusen  
University of Washington
- *Secretary:* Debra Schaller-Demers  
Memorial Sloan Kettering
- *Assistant Secretary:* McGehee Marsh  
St. Jude Children's Research Hospital
- Craig Alison  
University of California, Davis



# Standing committees

*Upcoming formation of standing committees*

- *Finance Committee*
- *Nominating Committee*
- *Education and Program Committee*
- *Communications Committee*



# Standing committees

## *Finance Committee:*

Establish guidelines to propose to the Board for the Corporation's budget and financial reporting; monitor and report to the Board regarding the financial affairs of the Corporation; review with the hosting organizations the budget and financial information related to the Corporation's annual conference; and make recommendations on other financial affairs as directed by the Board.



# Standing committees

## *Nominating Committee:*

In addition to other duties assigned, propose names for election of Directors and officers and appointment of committee members in consultation with the President. The recommendations of the Nominating Committee shall be presented to the Board at one or more regular meetings prior to the meeting at which the election shall take place. Except as otherwise provided by the Board, the Board shall vote on the nominations at the next meeting.



# Standing committees

## *Education and Program Committee:*

Primary purpose is to plan and oversee the educational content and implementation of the next annual conference. In addition, the Committee shall vet prospective attendees in the conference in order to confirm that they are eligible participants in accordance with the Board's specifications.



# Standing committees

## *Communications Committee:*

Intended to include representation from each of the domestic geographic regions established by the Board for purposes of facilitating the Corporation's regionally-based activities. The primary purpose of the Committee is to oversee the operation of the Corporation's listserv and other presence in electronic media, as well as establish and monitor criteria for participation on the listserv and access to website or other information.



# Membership benefits

- Networking
- Discounted conference registration
- Listserv (to come)
- Website with resources (to come)
- Note: membership dues do not cover registration fees for conferences but do entitle members to discounted conference rates.



# Proposed membership structure

- **Basic membership**, \$200 - allows one individual from the organization to participate in ARIO's activities, access ARIO resources for the year, and receive a \$50 discount on ARIO annual conference registration fees.
- **Supporting membership**, \$350 - allows two individuals from the organization to participate in ARIO's activities and access ARIO resources for the year. If both representatives attend the annual conference, the institution will receive a \$50 discount on the first ARIO annual conference registration and a \$25 discount on the second registration.
- **Sustaining membership**, \$475— allows three individuals from the organization to participate in ARIO's activities and access ARIO resources for the year. If more than one representative attends the annual conference, the institution will receive a \$50 discount on the first ARIO annual conference registration and a \$25 discount on the second and third registration.



# Proposed membership structure

- ***Premium membership***, \$600— allows four individuals from the organization to participate in ARIO's activities and access ARIO resources for the year. If more than one representative attends the annual conference, the institution will receive a \$50 discount on the first ARIO annual conference registration and a \$25 discount on the second through fourth registrations.
- ***Special limited regional membership***, \$50—allows one person to participate in activities, such as conference calls, sponsored by the applicable ARIO regional group. This category of membership does not entitle the person to receive the benefits of national ARIO membership or include registration fees or discounts for national conferences. Note that the frequency, nature and existence of regional activities may vary from region to region. An institution can only be a limited regional member for [two?] years.



# Goals

## **Formal structure to:**

- Act as an open forum for RIO issues
- Tap into experience of current members while encouraging engagement of others
- Receive grants and participate in academic studies
- Function and respond as unified organization
- Engage in efficient and consistent decision making
- Maintain sustained existence, organized growth and development



# Where are we going?

Listserv

Website

Contacts

Membership

Get involved

See you in Sunny California in 2017!!!

