

Appendix A – Survey Screen Shots



Council On Governmental Relations

An Association of Research Universities and Affiliated Medical Centers and Independent Research Institutes

2016 F&A Survey

2016 F&A Survey

This survey is estimated to take 45 minutes to complete. You will need your last rate proposal and the results of your last proposal by component in order to complete the survey.

1. Introduction and Data Privacy

The 2016 F&A Survey concentrates on institutional F&A rates and Negotiation Experiences. Subsequent phases may address related areas.

This survey should be completed by **September 30**. **ALL SURVEY INFORMATION, WHICH IS IDENTIFIED BY INSTITUTION, WILL BE AVAILABLE TO COGR MEMBERS ONLY** with the exception of questions pertaining to negotiation experiences. Those answers will be aggregated into a summary. We may share de-identified data on a case-by-case basis outside of the COGR membership.

Please note any concerns in the comment section below.

NOTE: If you will need to complete certain sections at a later date due to pending F&A negotiations, please contact Toni Russo at trusso@cogr.edu.

2. Name of Institution *

3. Name of Person Completing Survey *

4. Phone Number of Person Completing Survey *

5. Email Address of Person Completing Survey *

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6. My Institution is... (Choose All That Apply)

- Public
- Private
- Land-grant
- Medical School
- Hospital (Or has a hospital)
- Other (Please specify in comments)

Comments

7. General Information: Most Recent Research F&A Rate Proposal. Please enter your most recent F&A rate proposal prior to FY 16 (i.e. base year FY 15 or earlier). If you are required to do an F&A rate proposal based on FY16, we will request that you complete the FY 16 data entry at a later date.

Base Year

Cognizant Agency/Region

Type of Rate

Number of Years in Rate Agreement

Were rates tiered?

Comments

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Do you have a current rate extension?

- No
- Yes - 1 Year
- Yes - 2 Years
- Yes - 3 Years
- Yes - 4 years

Comments

8. Using data from Part I, Schedule B, Data Elements, enter the information below. **Note: If you are currently on an extension, your answers should be based on the last formal proposal submitted.**

Research MTDC On Campus

Cost Share in Research Rate Base

Research Square Footage

Research MTDC Off Campus

OSA MTDC On Campus (include JUST OSA)

9. What F&A rate do you use for Clinical Trials? Use drop down menu below and provide any relevant details in the comments section.

Comments

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10. Historical Rates

Note: List only rates in your agreement. For example, if you do not have a DoD Contract Rate, leave that row blank. Please specify in the comments which years, if any, were part of a rate extension.

	FY 12	FY 13	FY 14	FY 15	FY 16	FY 17	FY 18	FY 19	FY 20
Research-On	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Research-Off	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Research - DOD Contracts	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Research-Other	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Research -Other	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Research Other	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Instruction - On Only	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other Sponsored Activity - On Only	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IPA Agreement	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other (Please specify in comments)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other (Please specify in comments)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other (Please specify in comments)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other (Please specify in comments)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Comments

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11. Do you charge benefits to federal awards using negotiated benefit rates or based on actual costs?

- Fringe Benefit Rates
- Actual Costs
- Other (Please Specify)

Comments

12. Date of Most Recently Negotiated F&A Rate Agreement (If you are on an extension, use the date of the last formal proposal submitted)

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13. Administrative & Facilities Components - Proposed & Negotiated (On Campus Research Rate)

- Like Question 7, please enter your most recent F&A rate proposal prior to FY 16 (i.e. base year FY 15 or earlier). If you are required to do an F&A rate proposal based on FY16, we will request that you complete the FY16 data entry at a later date
- For Proposed Administrative components, enter the uncapped rates
- For Negotiated components, if you negotiated tiered rates, enter the final year of the rate agreement in the Negotiated column
- If you have not yet negotiated rates for a recent FY14 or FY15 proposal, just complete Proposed and you can complete Negotiated at a later date upon the completion of your rate negotiation.
- If you entered Proposed New Building Projects, it is understood that the Negotiated will not be separately identified. You can describe more under Question 19.
- For Negotiated: If tiered, indicate final period in agreement. If negotiations still pending, leave blank.
- ALL 'OTHER' RESPONSES SHOULD BE EXPLAINED IN COMMENTS BOX

	Proposed (Most Recent)	Negotiated (Most Recent)
General & Admin	<input type="text"/>	<input type="text"/>
Departmental Admin	<input type="text"/>	<input type="text"/>
Sponsored Projects Admin	<input type="text"/>	<input type="text"/>
Student Services Admin	<input type="text"/>	<input type="text"/>
Other (Specify in Comments)	<input type="text"/>	<input type="text"/>
ADMIN TOTAL	<input type="text"/>	<input type="text"/>
Building Depreciation	<input type="text"/>	<input type="text"/>
Land Improvements	<input type="text"/>	<input type="text"/>
Equipment Depreciation	<input type="text"/>	<input type="text"/>
Interest	<input type="text"/>	<input type="text"/>
O & M	<input type="text"/>	<input type="text"/>
Utility Cost Allowance	<input type="text"/>	<input type="text"/>
Library	<input type="text"/>	<input type="text"/>
New Building Project	<input type="text"/>	<input type="text"/>
Carryforward	<input type="text"/>	<input type="text"/>
Other (Specify in Comments)	<input type="text"/>	<input type="text"/>
FACILITIES TOTAL	<input type="text"/>	<input type="text"/>
ADMIN + FACILITIES GRAND TOTAL	<input type="text"/>	<input type="text"/>

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Comments

14. Capital Projections

Are future capital projections included in the approved rates?

Yes

No

Other - Write In

If yes, what portion of the approved rate is attributable to these capital projections? (If no, leave blank).



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Negotiation Experience (Most Recent)

If an FY 14 or FY 15 negotiation is still pending, you can complete this section at a later date upon the completion of your rate negotiation). For each question in this section, please provide comments on how the item was addressed in the negotiation. If the question is not applicable, enter NA. PLEASE NOTE: If a negotiation is pending, you may complete this section when your negotiation is final. Please contact Toni Russo at trusso@cogr.edu to complete your answers at that time.

15. Federal Lead Negotiator Information

Name

Title

Agency

16. Institutional Lead Negotiator Information

Name

Title

Department

17. Did you utilize the 4 year rate extension option? Please explain why or why not.

Yes

No

Comments

18. Did you componentize buildings, do a library study, or any other special study? Please explain.

Yes

No

Comments



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19. Did you have to calculate & support the UCA?

- Yes
- No
- N/A (If Base Year is prior to FY 14 and did not have the UCA)

Comments

20. Did you allocate equipment on a room-by-room basis?

- Yes
- No

Comments

21. Did the negotiators walk through any of your research space? Was there an adjustment made to any research space? Please use comment section to add additional detail, or comments on unusual/non standard situations.

- Yes, there was a walk through but no adjustment made
- Yes, there was a walk through AND an adjustment was made
- No, there was not a walk through and no adjustment was made
- No, there was not a walk through but there WAS an adjustment made (please explain in comments)

Comments

22. Did the Federal Negotiator provide any analysis/reason for adjusting your rates below your proposed rate? Please use comment section to add additional detail, or comments on unusual/non standard situations.

- Yes
- No
- N/A

Comments



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23. Were projections addressed in the negotiation? Please use comment section to add additional detail, or comments on unusual/non standard situations.

- Yes
- No
- N/A

Comments

24. Was the negotiation conducted in a fair and reasonable manner? Please use comment section to add additional detail, or comments on unusual/non standard situations.

- Yes
- No

Comments

25. Did you submit a DS-2/Revised DS-2? Please use comment section to add additional detail, or comments on unusual/non standard situations.

- Yes
- No

Comments

26. Please provide any other details you think may be relevant in conveying your overall negotiation experience.

27. Please Provide a Link to Your Most Recently Federally Negotiated F&A Rate Agreement