

# Case Study and Poll Questions

- In the past year, has your institution made changes to its processes or systems to address NIH and NSF regarding support/affiliation disclosure requirements?
  - Yes
  - No
  - Changes currently being considered

# Poll Questions to Set the Stage for Our Case Study

1. Does your institution review faculty consulting agreements before the faculty members sign them?
  - Yes
  - No
  - It depends
2. If you answered yes to Q.1, who reviews the agreements?
  - a. The school or department
  - b. COI/Research Compliance office
  - c. Sponsored Programs Office
  - d. Office of General Counsel
  - e. Tech Transfer Office
  - f. Someone else
3. Does your institution require faculty to obtain approval before hosting a visiting scientist?
  - Yes
  - No
  - It depends

# Case Study

- Prof. A. is a pharmacologist at Star University, a private institution. Prof. A has grant funding from NIH that covers 60% of his salary and grant funding from NSF that covers 30% of his salary. He is a tenured, full-professor with a 12-month appointment. He works only on fundamental research.
- Star U. permits faculty to consult one day per each five-day week. Star does not require disclosure or review of faculty consulting agreements except as required under NIH and NSF COI rules. Prof. A. has been following all the news coverage about government investigations into Inappropriate foreign influence. Prof. A. is concerned, and he sends his department chair his three current consulting agreements and asks him “Are these OK?”
- **Consulting agreement #1** is with a U.S. pharma company to review safety data for an FDA-approved drug. It requires that Prof. A. attend two one-day meetings and pays \$1,500 per meeting plus reimbursement of travel expenses.
- **Consulting agreement #2** is with a Canadian pharma company to provide advice on the development of a new research protocol. It pays \$10,000 and must be completed in a month. Prof. A. signed an NDA agreeing to keep the terms of the agreement confidential
- **Consulting agreement #3** is with a university in China and requires Prof. A. to provide advice on setting up a new degree program in pharmacology. It names Prof. A. as an adjunct faculty member and pays him \$30,000 per year. The agreement requires him to make 3, separate, month-long visits to the Chinese university each year. It also requires Prof. A to host Prof. B., a pharmacologist from the Chinese U., in his lab for 3 months to help Prof. A with his research and learn how his lab is set up. Prof. A and Prof. B are excited about this chance to collaborate. They think they will be able to publish a paper together, and they have already started exchanging compounds and data.