

#COGROct23

Committee Reports & Hot Topics:

October 27, 2023



COGR



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Geeta Swamy, M.D.

Duke University



**Welcome New COGR
Board Members**

*Associate Vice President for
Research, Vice Dean for
Scientific Integrity*

Todd Sherer, Ph.D.

Emory University



*Associate Vice President for
Research, Executive Director,
Technology Transfer*

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Welcome New COGR At-Large Committee Members



Kimberly Croft, *Director of Budget Analysis*
Massachusetts Institute of Technology

Serving on COGR's Costing and Financial Compliance Committee



Stacy Pritt, D.V.M., *Assoc. Vice President, Research Support & Regulatory Management*
U. of Texas Southwestern Medical Center at Dallas

Serving on COGR's Research Ethics & Compliance Committee

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Research Ethics & Compliance (REC) Committee Chair Transition

Naomi Schrag,
Columbia University



*Vice President for Research, Compliance
and Training Policy
(Chair since 2020)*

Deborah Motton,
University of California



*Executive Director, Research Policy
Analysis & Coordination*

Thank you

Naomi!

Welcome

Deborah!

Welcome New COGR Members!



UNIVERSITY
AT ALBANY
STATE UNIVERSITY OF NEW YORK

UTSA

The University of Texas at San Antonio™

Looking Ahead

COGR's February 27-March 1, 2024 Virtual Meeting

Registration will open in December



ATTENTION

As of January 1, 2024

COGR Is Moving!

**“Homer Building”
601 13th Street NW 12th Floor
Washington, DC 20005**

Costing and Financial Compliance (CFC) Committee

Committee Members

- **Sarah Axelrod (Chair)**, *Harvard University*
- **Kimberly Croft**, *Massachusetts Institute of Technology**
- **Jeremy Forsberg**, *University of Texas at Arlington*
- **MC Gaisbauer**, *University of California, San Francisco**
- **Joseph Gindhart**, *Washington University at St. Louis**
- **Michael Legrand**, *University of California, Davis**
- **Nate Martinez-Wayman**, *Duke University**
- **Julie Schwindt**, *University of Mississippi Medical Center**
- **Maria Soliman**, *University of Iowa**
- **Renotta Young**, *Columbia University**
- **David Kennedy** (*COGR Director*)



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Costing & Financial Compliance (CFC)

CFC's Primary Focus of the Past Months

- F&A Survey
- Uniform Guidance Update

Both covered in sessions on Thursday

Costing & Financial Compliance (CFC)

Other Issues in Play

- NASA FCTR to FFR transition
 - Ongoing discussion with NASA
- NSF – New compliance program on reporting
 - Working with CGA
- Library component of F&A
 - Working with ARL (Association of Research Librarians)
- Cognizant F&A agencies enforcing caps on salaries in indirect cost pools

Costing & Financial Compliance (CFC) Workplan

- Response to UG proposed changes, due December 4th
- Resolution of open issues
 - NASA transition
 - Working with ARL on library component
 - Caps on salaries in indirect cost pools
- Continue analysis of F&A survey
- ASAP - Personal info required for user log-in
- FAC transition to GSA

Contracts & Grants Administration (CGA) Committee Reports

- **Jeff Friedland, (Chair)** *University of Delaware*
- **Stephanie Endy,** *Brown University*
- **Michael Glasgow,** *University of Connecticut**
- **Walter Goldschmidts,** *Cold Spring Harbor Laboratory**
- **Stephanie Gray,** *University of Florida**
- **Charles Greer,** *University of California, Riverside*
- **Vivian Holmes,** *Massachusetts Institute of Technology**
- **Lisa Mosley ,** *Yale University*
- **Twila Reighley,** *Michigan State University**
- **Craig Reynolds,** *Van Andel Institute**
- **Jennifer Rodis,** *University of Wisconsin-Madison**
- **Pamela Webb,** *University of Minnesota**
- **Krystal Touns** *(COGR Director)*



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Contracts and Grants Administration

Priorities & Hot Topics

- Proposed Revisions to the Uniform Guidance
 - Major rewrite of the OMB Guidance for Grants and Agreements located in [title 2 of the Code of Federal Regulations](#) (CFR)
 - Cross-cutting Workgroup – CFC & CGA
 - Comments Due 12/4 -COGR will update the community & share draft for institutional letters
- System for Award Management (SAM) Registrations
 - Monitoring institutional reports on challenges with SAM registration/renewals
 - Engaged with GSA for a pathway of escalation
- Data Management and Sharing
 - Discussion on preliminary outcomes with NIH
- NSF OIG's Audit Work – with CFC
 - Research Security, Harassment Term & Condition, and Subaward Oversight & Management
- Government Shutdown
 - [Considerations when Preparing for the Federal Government Shutdown](#)

 Poll Everywhere [Pollev.com/cogrstaff949](https://pollev.com/cogrstaff949) *Ask a question from your seat!*

Contracts and Grants Administration

Projects On the Horizon for 2024

- Monitoring the use of OTAs, ARPA-H, HHS, DoD, NIH, NSF
- Public Access – Institutional & Industry Panel on Implementation – Feb
- Update [MANAGING EXTERNALLY FUNDED SPONSORED](#) (*last updated 2016*) after UG 2.0 finalization

Research, Ethics & Compliance (REC) Committee Members

- **Naomi Schrag, (Chair)** *Columbia University*
- **Lynette Arias,** *University of Washington*
- **Kristin Bittinger,** *Harvard University**
- **Theresa Colecchia,** *Johns Hopkins University*
- **Grace Fisher-Adams,** *California Institute of Technology**
- **Karen Hartman,** *Mayo Clinic**
- **J.R. Haywood,** *Michigan State University**
- **Jennifer Lassner,** *University of Iowa*
- **Deborah Motton,** *University of California*
- **Stacy Pritt,** *Southwestern Medical Center at Dallas*
- **Brian Smith,** *UC-San Francisco**
- **Geeta Swamy,** *Duke University*
- **Ara Tahmassian,** *Harvard University**
- **Debra Thurley,** *Pennsylvania State University**
- **Kristin West** *(COGR Director)*



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Research Ethics & Compliance – Major Issues

- Office of Research Integrity (ORI) [Notice of Proposed Rulemaking on Public Health Service Research Misconduct Regulations](#)
 - Major changes to 2005 regulations!
 - COGR, AAU, AAMC, APLU, & ARIO [filed request](#) to extend comment period from Dec. 5, 2023 to Jan. 19, 2024
 - Institutions should consider sending letter of support for extension and commenting!
- Research Security
 - Awaiting final research security program standards
 - [Analysis of NIST Safeguarding International Science Research Security Framework](#) – developed to assist institutions in implementing NSPM-33
 - REC will work with RSIP on analysis when standards are issued and with CFC on Phase II of Research Security Costs Survey
- Biosafety
 - [Comments](#) submitted on changes to Dual Use Research of Concern and Potential Pandemic Pathogen Care and Oversight (P3CO) Policy Framework
 - Potential governmental move from list-based to full risk-based regulation of pathogens

Additional Items & Projects for 2024

- Update to [Framework for Review of Individual Global Engagements in Academic Research](#) in light of evolving research security environment
- Dept. of Energy (DOE) clarified that its Interim COI Policy does not require reporting of significant financial interests directly to DOE, and that it will correct this item where it appeared in certain terms and conditions.
- NASA issued its final financial COI policy which, in response to comments, is now modeled after NSF's FCOI Policy.
- Continuing response to Office of Laboratory Animal Welfare (OLAW) efforts on reducing burden in laboratory animal research.
 - COGR has responded to multiple RFIs and will revisit [COGR, FASEB, AAMC, NABR Reforming Animal Research Regulations Report](#)

Research Security & Intellectual Property (RSIP) Committee Members

- **Jennifer Ponting (Chair)**, *University of Chicago*
- **Alexandra Albinak**, *Johns Hopkins University**
- **Hannah Carbone**, *California Institute of Technology**
- **Allen DiPalma**, *University of Pittsburgh*
- **Michael Moore**, *Augusta University**
- **Bruce Morgan**, *University of California, Irvine**
- **Dan Nordquist**, *Washington State University**
- **Elizabeth Peloso**, *University of Pennsylvania**
- **Sophia Herbert-Peterson**, *Georgia Institute of Technology*
- **Kenneth Porter**, *University of Maryland**
- **John Ritter**, *Princeton University**
- **Todd Sherer**, *Emory University*
- **Robert Hardy** (*COGR Director*)



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Research Security & Intellectual Property (RSIP)

- Briefing with National Institute of Standards and Technology (NIST)
 - Henry Wixon; Chief Counsel
 - Bethany Loftin; Interagency and iEdison Specialist
 - Mojdeh Bahar, Associate Director for Innovation and Industry Services
- Focus on the Domestic Manufacturing Requirement in [EO 14104](#)
 - New Guidance and Process forthcoming and available for comment under an RFI
 - Goal is to leverage more agencies using iEdison to gain better/consistent data
- iEdison improvements continue and RSIP will be working to collect solutions/suggestions regarding the use of iEdison

Research Security & Intellectual Property (RSIP)

- NIST committed to releasing the inter-Agency [March-in Authority report](#) for public comment but the timing of such a release is not clear.
- New Cybersecurity FAR Clauses
 - [Standardizing Cybersecurity Requirements for Unclassified Federal Information Systems](#) currently open for comment.
 - [Cyber Threat and Incident Reporting and Information Sharing](#) currently open for comment.
- Still waiting on final FAR rule on CUI! Stay tuned.

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