#### Committee Reports

June 11, 2021



#### **Outgoing Board Members**

- Joe Gindhart, Washington University in St. Louis
- Cindy Kiel, Stanford University
- Lynn McGinley, University of Texas Medical Branch at Galveston
- Kerry Peluso, Florida State University
- Cathy Snyder, Vanderbilt University



#### **Incoming Board Members**

- Sophia Herbert-Peterson, Georgia Tech
- Jerry Mauck, University of Denver
- Lisa Mosley, Yale University
- Debbie Motton, University of California System
- Jennifer Ponting, University of Chicago



# Research Security & Intellectual Property – RSIP Committee Members

- Elizabeth Peloso (Chair), U. of Pennsylvania
- Alexandra Albinak\*, Johns Hopkins U.
- Allen DiPalma, U. of Pittsburgh
- Cindy Kiel, Stanford University
- Michael Moore\*, Augusta U.
- Dan Nordquist\*, Washington State U.
- Jennifer Ponting\*, U. of Chicago

- Kenneth Porter\*, U. of Maryland
- John Ritter\*, Princeton U.
- Fred Reinhart\*, U. of Massachusetts
- Janna Tom\*, U. of California
- Kevin Wozniak\*, Georgia Institute of Technology
- Robert Hardy (COGR Staff)
- \* At-large members



# Research Security & Intellectual Property – RSIP Current Issues & Updates

- DoD listening session on Fundamental Research (6/10)
  - Requested by Basic Science Office
  - Follow on to survey activities at COGR and FDP
  - Several member institutions participated
- Revision of COGR resource documents
- Export Control Regulatory Updates
- Legislative Updates
- NIST NPRM on Bayh Dole and March in Rights



#### Contracts & Grants Administration – CGA Committee Members

- Walter Goldschmidts, (Chair) Cold Spring Harbor Laboratory
- Stephanie Gray \*, U. of Florida
- Charles Greer, U. of California, Riverside
- Stephanie Endy, Brown University
- Jeff Friedland, U. of Delaware
- · Jeremy Forsberg, U. of Texas, Arlington
- Jennifer Lassner, U. of Iowa
- Steve Martin \*, Indiana U.

- Bruce Morgan \*, U. of California, Irvine
- Lisa Mosley \*, Yale U.
- Twila Reighley, Michigan State U.
- Craig Reynolds \*, U. of Michigan
- Jennifer Rodis \*, U. of Wisconsin-Madison
- Pamela Webb \*, U of Minnesota
- Jackie Bendall (COGR Staff)



<sup>\*</sup> At-large members

# Contracts & Grants Administration – CGA Current Issues & Updates

<u>NIH RFI NOT-OD-21-066</u> Suggestions to Advance and Strengthen Racial Equity, Diversity, and Inclusion in Biomedical Research and Advance Health Disparities and Health Equity Research. (response submitted to NIH on April 14, 2021)

- Proactively and continuously engage the full spectrum of the administrative research community, with strong representation from under-resourced and less research-intensive institutions, to gain an understanding of the obstacles they may uniquely face in implementing a new or changed policy.
- Set goals on the maximum number of estimated hours consumed by administratively burdensome activities, particularly on lower-resourced grantees.
- Create a fellowship type program that would match new scientists from minority serving institutions with mentors in their field across the globe.



# Contracts & Grants Administration – CGA Current Issues & Updates

<u>NIH Data Management and Sharing policy</u> – Invited Guests: March 5<sup>th</sup>, Dr. Robin Barr, retiree and former Director of Extramural Activities at NIA and April 6<sup>th</sup>, Dr. Greg Farber, Director, Office of Technology Development and Coordination at NIMH.

- Expand and further develop broader applicability of the NIMH cost estimator tool across NIH Institutes and Centers (ICs).
- Encourage NIH ICs to work with investigators to develop practical, manageable data sharing plans with interoperability.
- Modify the SF424 to provide data sharing instructions for clarity and consistency across ICs and agencies.
- Exempt data sharing costs from capped NIH award mechanisms and modular award budgets.



## Contracts & Grants Administration – CGA Current Issues & Updates

#### **Other CGA Activities:**

- Work from Home and Electronic Storage Survey: Led by Bruce Morgan, UCI
- NIFA Title IX Reporting Requirements: Worked with NIFA to resolve issues regarding sexual harassment reporting (e.g., 3-day requirement, unsecured format). NIFA agreed to rescind reporting requirements until further notice. NIFA plans to align processes to those of NSF and NASA.
- Other Support Disclosures and Biosketch formats: Worked closely with REC to communicate concerns and formulate questions for NIH. Jackie Bendall and Kris West formed small workgroup of CGA and REC committee members.
- Participation with other COGR Committees on COGR Hot Topics



#### Research Ethics & Compliance – REC Committee Members

- Naomi Schrag, (Chair), Columbia U.
- Lynette Arias, U. of Washington
- Lois Brako \*, U. of Michigan
- Theresa Colecchia, Johns Hopkins U.
- Keri Godin \*, Brown U.
- Grace Fisher-Adams \*, California Institute of Technology
- Karen Hartman \*, Mayo Clinic
- J.R. Haywood \*, Michigan State U.

- Mary Mitchell \*, Mass General Brigham
- Kerry Peluso, Florida State U.
- Brian Smith \*, U. of California, San Francisco
- Ara Tahmassian \*, Harvard U.
- Debra Thurley \*, Pennsylvania State U.
- Kristin West (COGR Staff)
- \* At-large members



# Research Ethics & Compliance – REC Current Issues & Updates

- Participation in Joint Committee Activities on Science & Security
  - **°CGA/REC Workgroup regarding NIH NOT-OD-21-073**
- Meeting with GAO Acting Director Candice Wright
  - ° Discussion of non-financial conflicts of interest (i.e., conflict of commitment)
  - ° Discussion of new GAO project regarding research reliability
- Development of comments in response to Office of Laboratory Animal Welfare Request for Information re. application of PHS Policy on care of lab animals to zebrafish immediately after hatching.
- Diversity Toolbox
  - **Overview slides**



# Many thanks to the Diversity Toolbox Working Group!

#### **Working Group Members:**

Lois Brako, Assist. V.P., Regulatory & Compliance Oversight University of Michigan

Theresa Colecchia, Sr. Assoc. General Counsel Johns Hopkins University

Martha Jones, Vice President, Human Research Affairs Mass General Brigham





We hope that all COGR member institutions will help build this tool collection by sharing their institutions' tools.

# Sharing Your Tools to Help Us Build the Webpage!

If you have a form, template, process, narrative, PowerPoint presentation, or other document that you think would help other institutions with their DEI efforts regarding human subjects research, please share it via this website.

To share a tool, please provide a copy/link to Kris West at <a href="mailto:kwest@cogr.edu">kwest@cogr.edu</a> to post on the webpage. Kris will follow up to get more information whether the tool can be posted, customized, needs to be credited, etc.

#### Organization of the Toolbox

Our toolbox is limited to tools that are useful in promoting DEI in human subjects research.

The toolbox is divided into the following three drawers:

**Drawer 1**: Starting the Conversation

This drawer contains tools that will help your institution start or continue the conversation about improving DEI in research activities involving human subjects.

**Drawer 2**: Laws, Regulations, Policies & Guidance

This drawer contains links to the current legal and guidance framework in this area.

**Drawer 3**: Tools for the Research Environment

This drawer contains tools that institutions have developed to promote DEI in specific sectors of the human subjects research environment, e.g., IRB policies, consent forms, guidance to improve diverse study recruitment, etc.



#### Fill the Box Challenge

- We would like every COGR member institution to contribute at least one tool to the Diversity Toolbox by July 31, 2021.
- We'll sort the tools you provide into the correct drawers and include your institution's name and point of contact on contributors' list.



#### Costing & Financial Compliance – CFC Committee Members

- Joseph Gindhart (Chair), Washington U. at St. Louis
- Sarah Axelrod, Harvard U.
- Vivian Holmes \*, Boston U.
- Cynthia Hope \*, Georgia Institute of Technology
- Michael Legrand \*, U. of California, Davis
- Nate Martinez-Wayman \*, Duke U.

- Lynn McGinley, U. of Texas Medical Branch, Galveston
- Jerry Mauck \*, U. of Denver
- Jeffrey Silber, Cornell U.
- Marcia Smith \*, U. of California, Los Angeles
- Cathy Snyder, Vanderbilt U.
- Renotta Young \*, Columbia U.
- David Kennedy (COGR Staff)

\* At-large members



# Costing & Financial Compliance – CFC Current Issues & Updates

- NSF OIG Compliance Finding for F&A
- DHHS Payment Management System Issues
- NIH Data Management and Sharing
- F&A Cost Rates and Proposals (COGR paper)
- HEERF Funding
- Update Uniform Guidance FAQs



Q & A



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#### Thank You

