

*Committee Reports:
Updates and
Emerging Issues*

October 2022 COGR
Meeting

PRESENTERS

Sarah Axelrod, Harvard University

**Jeff Friedland, University of
Delaware**

**Jennifer Ponting, University of
Chicago**

Naomi Schrag, Columbia University

Session Logistics

- **Updates & Emerging Issues:** Each Committee Chair will present a list of *Updates & Emerging Issues* being addressed by the Committee, followed by a *Hot Topics & Priorities*.
- **Questions & Open Mic:** There will be a short Q&A at the conclusion of each committee presentation.
- **Poll Everywhere:** Use as needed!
- **Additional Follow-up:** We always encourage you to reach out to the applicable Committee Chair and/or Director to share issues and/or concerns applicable to your institution. Contact information is shown on selected slides.
- **Wrap-up and Final Comments:** Until next time ...

Costing and Financial Compliance (CFC) Committee Members

- Sarah Axelrod (Chair), Harvard University
- Jeremy Forsberg, University of Texas at Arlington
- MC Gaisbauer, Univ. of California, San Francisco*
- Joseph Gindhart, Washington Univ. at St. Louis*
- Michael Legrand, University of California, Davis*
- Nate Martinez-Wayman, Duke University*
- Gerald Mauck, University of Denver
- Julie Schwindt, University of South Alabama*
- Marcia Smith, University of California, Los Angeles*
- Renotta Young, Columbia University*
- David Kennedy (COGR Staff)

* At-large members

Additional Follow-up: Sarah Axelrod, sarah_axelrod@harvard.edu
David Kennedy, dkennedy@cogr.edu

Costing & Financial Compliance (CFC): Updates & Emerging Issues

- Ongoing concern on timely F&A Cost Rate Negotiations
- COGR Letter to OMB - 2022 Compliance Supplement and timing for reimbursement requests
- Cost of Compliance studies (Research Security, DSM)
- NIH Award Closeouts greater than 120 days
- Impacts of Retirements of Key Federal Partners
- HERD Survey – Pilot Questions, Capital Expenditures
- Treasury Offsets

 [Pollev.com/cogrstaff949](https://pollev.com/cogrstaff949) *Ask a question from your seat!*

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Costing & Financial Compliance (CFC): Hot Topics & Priorities

- 1) *F&A & Fringe rate negotiation delays*
- 2) *COGR F&A Survey targeted for launch in early 2023*
 - Last survey was conducted in 2016/2017
 - Impacts of UG extensions
 - National and regional trends
- 3) *OIG Audits – Results and FY23 Focus*
 - NIH
 - NSF

 [Pollev.com/cogrstaff949](https://pollev.com/cogrstaff949) Ask a question from your seat!

Contracts & Grants Administration (CGA)

Committee Members

- Jeffrey Friedland (Chair), University of Delaware
- Stephanie Endy, Brown University
- Michael Glasgow, University of Connecticut*
- Walter Goldschmidts, Cold Springs Harbor Laboratory*
- Stephanie Gray, University of Florida*
- Charles Greer, University of California, Riverside
- Vivian Holmes, Massachusetts Inst. of Technology*
- Lisa Mosley, Yale University
- Twila Reighley, Michigan State University
- Craig Reynolds, Van Andel Institute
- Jennifer Rodis, University of Wisconsin, Madison*
- Pamela Webb, University of Minnesota*
- Krystal Toups (COGR Staff)

* At-large members

Additional Follow-up: Jeffrey Friedland, jeffreyf@udel.edu
Krystal Toups, ktoups@cogr.edu

Contracts & Grants Administration (CGA): Updates & Emerging Issues

- Reporting Harassment and Bullying to Federal Sponsors
- Data Management and Sharing
- NIH Modular Grant Application and Award Process
- NIH Expanded Use of Other Transactional Authority (OTA)
- Request for Information to NSPM-33 Common Forms for Biographical Sketch and Current and Pending (Other) Support
- OSTP Public Access

Contracts & Grants Administration (CGA): Hot Topics & Priorities

1) COGR Comment Letter for NSPM-33 Common Forms

- Comments due 10/31/22
- Focus on harmonization forms/processes and clarifications

2) Data Management and Sharing

- Effective January 25, 2023
- Readiness guidance

Research Security & Intellectual Property (RSIP) Committee Members

- Jennifer A. Ponting (Chair), University of Chicago
- Alexandra Albinak, Johns Hopkins University*
- Hannah Carbone, California Inst. of Technology*
- Allen DiPalma, University of Pittsburgh
- Sophia Herbert-Peterson, Georgia Institute of Technology
- Michael Moore, Augusta University*
- Bruce Morgan, University of California, Irvine*
- Dan Norquist, Washington State University*
- Elizabeth Peloso, University of Pennsylvania*
- Kenneth Porter, University of Maryland*
- John Ritter, Princeton University*
- Todd Sherer, Emory University*
- Robert Hardy (COGR Staff)

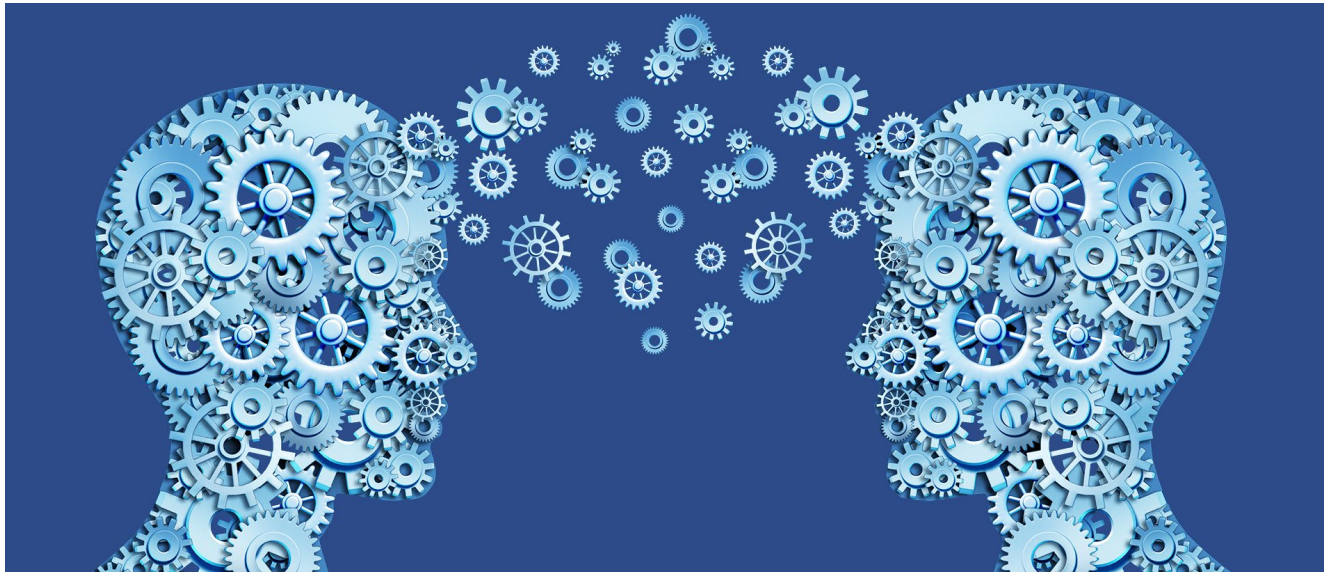
* At-large members

Additional Follow-up: Jennifer Ponting, ponting@uchicago.edu
Robert Hardy, rhardy@cogr.edu

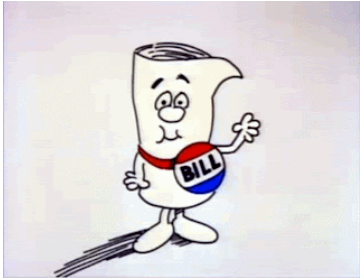
Research Security & Intellectual Property (RSIP): Updates & Emerging Issues

In case you missed it:

RSIP Committee has revised the COGR document: *Technology Transfer in U.S. Research Universities: [Dispelling Common Myths](#)* originally issued in 2000



Research Security & Intellectual Property (RSIP): Updates & Emerging Issues



What is coming next?

CHIPS and Science Act

- Research Security Requirements
- Technology Transfer Implications



Reauthorization of SBIR/STTR Program

- New Research Security Requirements for Applicants



Recent NASEM report

- Protecting Critical Technologies for National Security in an Era of Openness and Competition

Research Security & Intellectual Property (RSIP): Hot Topics & Committee Meeting Update

1) Hosted a listening Session with Jason Day, Director of Defense Research and Engineering

2) Discussed NSPM-33

- Goal to develop a Framework resource for membership to use in developing/reviewing a Research Security Program

3) Export Control Regulatory Updates

- Revisions to the Unverified List; Clarifications to Activities and Criteria That May Lead to Additions to the Entity List
- Implementation of Additional Export Controls: Certain Advanced Computing and Semiconductor Manufacturing Items; Supercomputer and Semiconductor End Use; Entity List Modification

Research Ethics & Compliance (REC) Committee Members

- Naomi Schrag (Chair), Columbia University
- Lynette Arias, University of Washington
- Theresa Colecchia, Johns Hopkins University
- Keri Godin, Harvard University School of Medicine*
- Grace Fisher-Adams, California Institute of Technology*
- Karen Hartman, Mayo Clinic*
- J.R. Haywood, Michigan State University*
- Jennifer Lassner, University of Iowa
- Deborah Motton, University of California
- Brian Smith, Univ. California, San Francisco*
- Geeta Swamy, Duke University*
- Ara Tahmassian, Harvard University*
- Debra Thurley, Pennsylvania State University*
- Kristin West (COGR Staff)

* At-large members

Additional Follow-up: Naomi Schrag, ns2333@columbia.edu
Kristin West, kwest@cogr.edu

Research Ethics & Compliance (REC): Updates & Emerging Issues

Updates:

- REC meeting with Office of Laboratory Animal Welfare (OLAW) representatives
 - Survey of institutions and researchers re. efficacy of OLAW efforts to reduce admin. burden
 - OLAW site visits
 - 3 upcoming RFIs – use of existing IACUC review flexibilities, exemptions from IACUC review, & reporting of non-compliance
 - FDP – standard procedure & universal protocol repository
 - NASA application of PHS Policy to certain cephalopods
- REC meeting with NIH re. role of certificates of confidentiality in protecting human subjects' reproductive health information:
 - COCs follow the research and its data
 - Cannot be used to circumvent mandatory state reporting; researchers must inform subjects of limitations

Additional REC Activities:

- Response to NIH RFI on hurdles in conducting cannabis research
- Institutional efforts to improve the research work environment safety by preventing and addressing harassment issues
- Implications of OSTP announcement [Ensuring Free, Immediate, and Equitable Access to Federally Funded Research](#)
- **And remember to check out our updated [Science & Security](#) webpage!!!**

Research Ethics & Compliance (REC): Hot Topics & Priorities

- Response to [Office of Research Integrity's \(ORI\) Request for Information \(RFI\) on Research Misconduct Regulation \(42 CFR Part 93\)](#).
 - Developing a joint response with Association of Research Integrity Officers (ARIO)
 - Coordination with other associations that will be commenting
- Considerations in handling human research participants' data in developing and implementing data sharing and management plans.
 - Provision of comments to NIH in response to RFIs on this topic
 - [NOT-OD-22-131](#) – Human Subjects Data; [COGR Response](#)
 - [NOT-OD-22-064](#) – American Indian/Alaskan Native Data; [COGR Response](#)
 - Development of chapter in COGR's data sharing and management Readiness Guide
- Continuing focus on conflicts of interest and conflicts of commitment in the science and security space.
 - Assessing impact of CHIPS & Science Act, including impact on RCR training
 - Updating [COGR conflict of interest publication](#)