

2017 COGR Survey of F&A Rates

February 2017 COGR Meeting
Washington Marriott, Washington DC

February 23, 2017

COGR

Council On Governmental Relations

An association of research universities

2017 F&A Survey

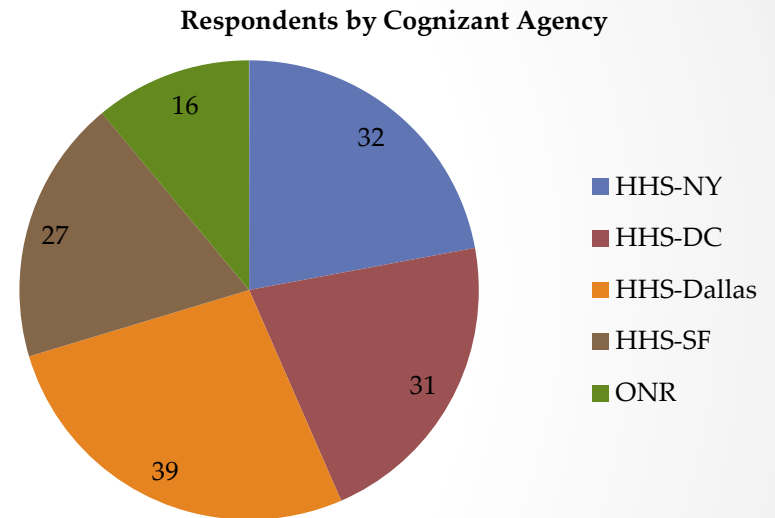
- On-line, SurveyGizmo (145 surveys submitted!)
- Beta Testers and Thank You's: Broad Institute, UC Merced, Duke, Emory, Georgia Tech, Harvard, University of Miami, CUNY Research Foundation, NYU, Vanderbilt, University of Washington, COGR Costing Committee, and Toni Russo-COGR!
- Going Forward ... call-in / e-mail trusso@cogr.edu to update information.

Exec Summary: Table of Contents

- Primer on F&A and Research Operating Costs
- Survey Results and Trend Analysis.
- APPENDIX A: Sample Copy of the 2017 F&A Survey
- APPENDIX B: Survey Data Sheet
- COGR Members Only Reports.
 - Historical Rates by Institution
 - By Region (supports FY07-F17 Trend)
 - Negotiation Experiences (de-identified)
 - Rate Components (later)

Responses by Cognizance

COGNIZANCE / REGION	Count
HHS-NY (Northeastern)	32
HHS-DC (Mid-Atlantic)	31
HHS-Dallas (Central)	39
HHS-SF (Western)	27
Office of Naval Research	16
Total Responses	145



F&A Rate Trends: 2007-2017

Reporting Cohort & Survey Year	FY 2007	FY 2017	Annualized Percent Change
Research Universities (average)	51.2	55.0	+ 0.8 %
Research Universities (median)	50.3	54.5	+ 0.7 %

“Research Universities” includes 107 institutions where FY07 and FY17 data is readily available.

Comments and Explanations

- Upward trend in F&A rates; FY2007 to FY2017.
- However, NIH data shows zero shift from direct to indirect (constant 27% for over a decade).
- Increase in quality of F&A rate proposals + more fair and fact-based negotiations.
- NIH-centric Privates, generally speaking, have had smallest rate increases.
- Per 2015 NSF HERD, University contribution at all-time high of over 24% (\$16.7 billion) of total R&D expenditures.

Next Steps

- Check-in, approximately February 28, to www.cogr.edu; Policy Issues / Financial Mgt for Executive Summary and other information.
- Reports available by request to trusso@cogr.edu
- If data for your institution needs updated, contact trusso@cogr.edu
- Periodically, we will release updated versions.
- Negotiation Experiences are de-identified. However, your peers can request your contact info (e.g., inst. # 18, question 22). If you do not want to be available, contact COGR asap.