2017 COGR Survey of F&A Rates

February 2017 COGR Meeting
Washington Marriott, Washington DC

February 23, 2017
2017 F&A Survey

• On-line, SurveyGizmo (145 surveys submitted!)

• Beta Testers and Thank You’s: Broad Institute, UC Merced, Duke, Emory, Georgia Tech, Harvard, University of Miami, CUNY Research Foundation, NYU, Vanderbilt, University of Washington, COGR Costing Committee, and Toni Russo-COGR!

• Going Forward … call-in / e-mail trusso@cogr.edu to update information.
Exec Summary: Table of Contents

• Primer on F&A and Research Operating Costs
• Survey Results and Trend Analysis.
• APPENDIX A: Sample Copy of the 2017 F&A Survey
• APPENDIX B: Survey Data Sheet
• COGR Members Only Reports.
  - Historical Rates by Institution
  - By Region (supports FY07-F17 Trend)
  - Negotiation Experiences (de-identified)
  - Rate Components (later)
## Responses by Cognizance

<table>
<thead>
<tr>
<th>COGNIZANCE / REGION</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>HHS-NY (Northeastern)</td>
<td>32</td>
</tr>
<tr>
<td>HHS-DC (Mid-Atlantic)</td>
<td>31</td>
</tr>
<tr>
<td>HHS-Dallas (Central)</td>
<td>39</td>
</tr>
<tr>
<td>HHS-SF (Western)</td>
<td>27</td>
</tr>
<tr>
<td>Office of Naval Research</td>
<td>16</td>
</tr>
<tr>
<td><strong>Total Responses</strong></td>
<td><strong>145</strong></td>
</tr>
</tbody>
</table>

![Pie chart showing respondents by cognizant agency]
## F&A Rate Trends: 2007-2017

<table>
<thead>
<tr>
<th>Reporting Cohort &amp; Survey Year</th>
<th>FY 2007</th>
<th>FY 2017</th>
<th>Annualized Percent Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Universities (average)</td>
<td>51.2</td>
<td>55.0</td>
<td>+ 0.8 %</td>
</tr>
<tr>
<td>Research Universities (median)</td>
<td>50.3</td>
<td>54.5</td>
<td>+ 0.7 %</td>
</tr>
</tbody>
</table>

“Research Universities” includes 107 institutions where FY07 and FY17 data is readily available.
Comments and Explanations

• Upward trend in F&A rates; FY2007 to FY2017.
• However, NIH data shows zero shift from direct to indirect (constant 27% for over a decade).
• Increase in quality of F&A rate proposals + more fair and fact-based negotiations.
• NIH-centric Privates, generally speaking, have had smallest rate increases.
• Per 2015 NSF HERD, University contribution at all-time high of over 24% ($16.7 billion) of total R&D expenditures.
Next Steps

- Check-in, approximately February 28, to [www.cogr.edu](http://www.cogr.edu); Policy Issues / Financial Mgt for Executive Summary and other information.
- Reports available by request to [trusso@cogr.edu](mailto:trusso@cogr.edu)
- If data for your institution needs updated, contact [trusso@cogr.edu](mailto:trusso@cogr.edu)
- Periodically, we will release updated versions.
- Negotiation Experiences are de-identified. However, your peers can request your contact info (e.g., inst. # 18, question 22). If you do not want to be available, contact COGR asap.