Welcome! Today’s webinar will start shortly.
Important Note from COGR: The content provided during this webinar represents information that is current as of April 9, 2020 and is subject to change as federal agencies issue additional guidance and institutions continue to respond to the public health emergency created by COVID-19.

For more information on federal agency guidance and institutional policies regarding COVID-19’s impact to federal awards, please visit COGR’s website.

All materials, including recordings, are for educational purposes for only and for the benefit of COGR membership. Any other use requires COGR’s express permission.
Announcements

• **Q&A:** Attendees were invited to send questions prior to the start of the webinar to COVID19@cogr.edu. Panelists will answer as many questions as time allows. **Do not use the Chat function to ask questions of the panelists.**

• **Chat:** Feel free to use “Chat” to say hello to other participants or notify the moderators of any technical issues.

• **If your question did not get answered** during today’s webinar, please send your questions to COVID19@cogr.edu.

• **Today’s slides** will be posted to www.cogr.edu.

• **For more information** on federal agency guidance, institutional policy links and COGR resources on COVID-19, please visit www.cogr.edu.
Welcome to the COGR COVID-19 Webinar Series!

COVID-19 is having a tremendous impact on the way in which research institutions are conducting their daily activities.

To help COGR members stay up to date on the latest regulatory changes and their implementation, COGR will be hosting a series of webinars.
Case Studies on COVID-19’s Impact on Sponsored Activities at Research Institutions

April 9, 2020

Panelists:

**Stephanie Endy**, Associate Vice President for Research, Case Western Reserve University

**Joseph Gindhart**, Associate Vice Chancellor for Finance and Sponsored Projects, Washington University in St. Louis

**Bruce Morgan**, Associate Vice Chancellor for Research Administration, University of California, Irvine
Additional Webinar Topics May Include …

- Salary issues – Charging salaries consistently
- Documenting, coding, and tracking COVID-19 costs
- Defining essential or critical research
- Ramping up and assessing impact
- Agency specific guidance and legislative efforts
- Special considerations for R&D contracts
- Impact of pausing human subjects research
- Managing subawards – disparity in operations, progress and cost considerations
Today, Case Studies On

How My Campus Addressed

• Ramping down research in response to COVID restrictions and associated costs.
• Payment of salary for remote work and idled employees and trainees.
• Communication and outreach.
• Special considerations.
Important Overarching Guidance

• March 19, 2020
• Guidance from OMB to the federal agencies
• Applies to Federal Financial Assistance Directly Impacted by COVID-19
• Contracts are addressed separately by agency
• Federal agencies are issuing guidance
Institutional and Agency Responses to COVID-19 & Additional Resources – UPDATE!

Basic page Institutional and Agency Responses to COVID-19 and Additional Resources has been updated.

Jump To:
- Register for COGR’s April 9 Webinar on Case Studies on COVID-19’s Impact on Sponsored Activities at Research Institutions
- Agency Guidance Specific to Federal Award Impact
- Institutional Guidance
- Additional Resources
- COGR’s FAQs and Resources on COVID-19’s Impact to Federal Awards

Agency Guidance Specific to Federal Award Impact

Jump To:
- OMB
- NIH
- NSF
- DOD
- DOE
- DHHS
- FDA
- HRSA
- SAMHSA
- USAMRAA
- NAVY
- DOJ
- CDC
- NSA
- NASA
- DARPA
- USDA
- USAID
- ONR
- USDOT
- EPA
- NEH
- NEA
- IMLS
- DOC
- DOI
- DOL
- STATE
- ACQUISITIONS
- Office of Management and Budget
## COGR Terms & Conditions Matrix

### Federal Agency Guidance on COVID-19's Impact on I

<table>
<thead>
<tr>
<th>Agency Name</th>
<th>Late Proposal Acceptance Guidance</th>
<th>Allowability of salaries and other project activities</th>
<th>Late SAM Registration</th>
<th>Other Program Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>OMB M-20-17</td>
<td>YES — Agencies may accept late applications. OMB allows awarding agencies to provide flexibility for submission of competing applications (solicited and unsolicited). See OMB Memorandum M-20-17. Administrative Relief for Recipients and Applicants of Federal Financial Assistance Directly Impact</td>
<td>YES — Agencies may permit charging of salaries of furloughed staff. OMB permits awarding agencies to charge salaries and benefits to active awards provided that the recipient has a policy in place for paying salaries under extraordinary conditions applicable to all funding sources.</td>
<td>YES — Agencies may permit late SAM registration. Current registrants in SAM with active registrations expiring before May 20, 2020 will be allowed a one-time extension of 60 days.</td>
<td>YES — Agencies may allow SAM registrations to resume activities.</td>
</tr>
<tr>
<td>NIH (Institute of Health)</td>
<td>YES — Late proposals may be accepted. NIH will consider whether to accept late applications on a case-by-case basis. Note that a later notice (NOT-OI-20-062) states that all grant applications submitted late due dates between March 9, 2020 and May 1, 2020 will be accepted through May 1, 2020, but that late applications received after May 1, 2020 may not be reviewed until the Jan. 2021 council round.</td>
<td>YES — Salaries of furloughed/layoffed staff can be charged to grants. NIH will permit charging to awards of salaries and benefits paid to employees when due to COVID-19 work is performed remotely, no work is performed; or personnel are redeployed to provide clinical care for COVID-19 patients. NIH has expanded its institutional policy permits such charges to all funding sources. See NOT-OI-20-066.</td>
<td>YES — Late SAM registration permitted. Flexibility with SAM Registration</td>
<td>YES — Flexibility is permitted.</td>
</tr>
</tbody>
</table>

- Summary of agency guidance and updates
- Updated regularly
- Check the [www.cogr.edu](http://www.cogr.edu) for the latest information
State/local decision to remove restrictions

Institutional assessment of facilities; address issues

Phased approach to repopulating the institution

Fully operational

Business continuity plans – GO!

Planning to work from home where possible – teaching, research, EVERYTHING!

Defining critical research and enabling it to continue

Logistics, logistics, logistics

Full or partial remote operations

State/local decision to remove restrictions

Slower process – likely weeks or months?

Very fast operational decisions – often days or even hours!
Poll - Where are you in this timeline?

- No change in my institution’s operations
- We're planning for remote or wind down of activities (except for critical activities)
- We are in the process of transitioning to remote operations, except where on-campus activities have been approved
- We are in steady state of significant remote operations, including sponsored programs
- We’ve started ramping activities back up
- Other – tell us in the chat window
Case Western Reserve University

- Open/closed now has lots of nuances in between
- Research is OPEN with few restrictions
- Allows us to have ‘belt and suspenders’ approach to charges on sponsored programs
- Few contracts on campus, primarily NIH dependent
- Not actually closing is helping with the majority of larger issues, but we still have a lot of the same smaller ones
- We are grateful for our leadership and for the ability to continue research
Washington University in St. Louis

- Alternate Operations
- Research Continuity Plans and Ramp-down checklist
- Communicable Disease Policy and Special Leave
- Distinct Accounts To Track Costs
- Guidance for Researcher website
- Town Hall for Researchers
University of California, Irvine

• Protecting people is the highest priority
• Preparation/planning informed by what’s happening elsewhere
• Coordinating communication is essential
  • Don’t forget your Academic Senate leaders
  • Define essential research to meet your institution’s needs
  • Process for determining and tracking essential research
  • Broadly share what you learn
• Transferring research PPE to the healthcare enterprise
• Using research personnel, supplies, materials and equipment to support the healthcare enterprise has made a difference
• IT infrastructure is essential for successful telecommuting
• Humor is an essential function!
University of California, Irvine - Resources

• UCI Research Continuity Website -
  https://news.research.uci.edu/research-continuity/

• UCI Definition of Critical Research -
  https://news.research.uci.edu/defining-critical-research/

• Ramp Down Check List -
  https://www.ehs.uci.edu/Laboratory%20Ramp-Down%20Checklist%20(V4%203-16-20).docx

• UCI COVID-19 Website - https://uci.edu/coronavirus/
Overall Take-Aways

- Safety is paramount
- Situations evolve rapidly
- Agency guidance has lagged
- The only certainty is uncertainty
Thank You

Visit us at www.cogr.edu for more information on Institutional and Agency Responses to COVID-19 and Additional COGR Resources and contact us at COVID19@cogr.edu