



October 15, 2019

COGR October 24-25, 2019 MEETING AGENDA

WASHINGTON MARRIOTT HOTEL

Planning information for the upcoming meeting of the Council on Governmental Relations was sent to the COGR Listserv in September 2019. The cut off for special COGR pricing for hotel reservations was **Friday, October 11, 2019 (extended)** at the Washington Marriott Hotel at 1221 22nd Street, N.W., Washington, D.C. After this date, reservations will be accepted only on a space and rate available basis. Meeting registration cancellations must be received in writing, preferably via email to trusso@cogr.edu, **no later than Friday, October 18, 2019** to receive a refund of the registration fee.

Thursday, October 24, 2019

- 10 AM – 10:15 AM - **Welcome**
- 10:15 AM – 11:10 AM - **An Effort Reporting Check In: The UG Five Years Later.** *Metropolitan Ballroom*
This session is timely for two reasons: 1) As we near the 5-year anniversary of the Uniform Guidance, it's helpful to take stock of how reform initiatives around effort reporting are unfolding at COGR institutions, and 2) in the context of foreign influence, documenting commitments, other support, total professional effort, and federal agency expectations, understanding commitments in relation to after-the-fact review requirements for payroll charges is becoming an increasingly important discussion at our institutions. The panel discussion topics will include: 1) case studies around methodologies, 2) an update on the work of the "[Cohort for Efficiencies in Research Administration](#)" (an organization that has become a leader in advocating for alternatives to effort reporting), and 3) a discussion of the issues and concerns specific to understanding the relationship of current, pending, and other support in proposals, compared to after-the-fact review requirements for payroll charges. Some of these discussions will serve as segue into the Conflict of Commitment session immediately following this one.
- 11:10 AM – 12:15 PM - **Conflict of Commitment Policies and Practices – Does Your Institution Have the Information It Needs?** *Metropolitan Ballroom*
Institutional effort together with time spent on outside professional activities provide institutions with the big picture of how faculty and researchers spend their total professional time. The recent focus on undue foreign influence has highlighted cases where investigators have had significant outside appointments, in many cases, without the home institution's knowledge or approval. As a result, institutions are reviewing, and in some cases tightening, their policies and systems related to reporting outside professional activities. On this panel, a few institutions will discuss their policies and practices for conflict of commitment, including which activities require disclosure and prior approval, the criteria for evaluating outside activities, and how approvals are documented for future reference. We will discuss the changes that institutions are considering and examine the issues and challenges. Panelists include Kerry Peluso, Florida State University (moderator), Dan Nordquist, Washington State University, Twila Reighley, Michigan State University, Suzanne Rivera, Case Western Reserve University.
- 12:15 PM – 1:15 PM - **Buffet Lunch** *West End Ballroom (new members/attendees only), Dupont Ballroom (all others)*

- 1:15 PM – 2:15 PM - **Office of Science Technology Policy (OSTP) JCORE Update** - *Metropolitan Ballroom*
OSTP staff will provide an update on the activities of the four subcommittees of the Joint Committee on the Research Environment (JCORE): 1) research security, 2) coordinating administrative requirements for research, 3) safe and inclusive research environments, and 4) research rigor and integrity. Presenters will include: Chloe Kontos, NSTC Executive Director, Aaron Miles, Principal Assistant Director for National Security and International Affairs, and Lisa Nichols, Assistant Director for Academic Engagement.
- 2:15 PM - 3:00 PM **Foreign Subrecipient Monitoring** *Metropolitan Ballroom*
Many institutions operate federally funded programs overseas through special purpose entities, including through controlled legal entities that provide ancillary support services in the foreign country. We will explore this model and questions about federal sponsors directly funding these affiliated entities for in-country activity.
- 3:00 PM – 3:20 PM - **Break** *Metropolitan Mezzanine*
- 3:20 PM – 4:30 PM - **Science and Security: Varying Perspectives** - *Metropolitan Ballroom*
Previous COGR meetings have included a number of panels of government representatives addressing issues pertaining to foreign influences and threats at U.S. universities. The COGR membership continues to have intense interest in and concerns about these issues. This session will include government representatives from two agencies that have not previously been represented at COGR—the Department of Justice and Department of State. A faculty member at a COGR institution is now being criminally prosecuted for a failure to disclose a foreign conflict of commitment; we expect the Justice representative may address the ramifications and describe other enforcement activities. State will address the need for greater partnering between U.S. agencies and universities and foreign counterparts on best practices to deal with these issues as well as the need for more outreach to foreign students and student associations. The panel also will include a Department of Energy representative who will discuss the current status of DOE Order 486.1, prohibiting DOE and contractor employees from participating in certain foreign government talent recruitment programs, and its potential effect on DOE grantees.
- 4:30 PM – 5:30 PM **Guest Speaker** - *Metropolitan Ballroom*
Dr. Mary Sue Coleman, President, Association of American Universities
- 5:30 PM – 8 PM – **Cocktails and Buffet Dinner** *Metropolitan Mezzanine & Ballroom.*

Friday, October 25, 2019 – COGR General Membership Only

- 7:30 AM- 8:30 AM – **Buffet Breakfast** *Metropolitan Mezzanine & Ballroom.*
- 8:30 AM – 9:15 AM – **COGR Membership Survey** - *Metropolitan Ballroom*
COGR has completed a preliminary compilation and analysis of the Membership Survey. We had over 300 responses – many thanks to all of you that participated! The Survey addressed topics such as Meeting Logistics, Meeting Content, Communications & Resources, and importantly, Membership Engagement. We will present preliminary results from the Survey and provide the Membership with an opportunity to share additional comments on the topics covered in the Survey.
- 9:15 AM -10:30 AM – **Science & Security: Institutional Perspectives and Experiences** - *Metropolitan Ballroom*
This session will explore what campuses are learning as they look into potential cases of foreign influence, as well as steps they are taking to address the issue going forward. Panelists include Patrick Schlesinger, University of

California at Berkeley (moderator), Missy Peloso, University of Pennsylvania, Jennifer Ponting, University of Chicago, and Mike Vernick, Hogan & Lovells. Attendees are encouraged to ask questions and share their own experiences in what we hope will be a lively and interactive discussion.

- 10:30 AM-10:50 AM – **Break** *Metropolitan Mezzanine*
- 10:50 AM – Noon - **Committee Reports, Including Hot Topics and Emerging Issues** - *Metropolitan Ballroom*
A variety of issues will be discussed including foreign influence, Section 117 reporting, and the NIH Misconduct FAQs. *(Members are encouraged to submit advance questions and issues for Friday morning's discussion to trusso@cogr.edu and will also have an opportunity to submit questions to the registration desk on Thursday.)*
- 12:00 Noon – **Adjournment**