

COGR

Advancing Effective Research Policy

 #COGRFeb25

Virtual Membership Meeting

February
25-28, 2025



Advancing Effective Research Policy



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Opening Remarks

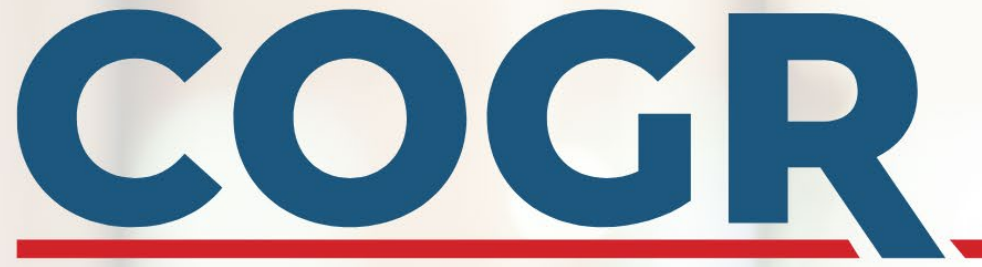
February 28,
2025



Naomi Schrag, *Vice President for Research Compliance, Training, and Policy (Columbia University) and COGR Board Chair*



Matt Owens, *President (COGR)*



Advancing Effective Research Policy

WELCOME NEW BOARD MEMBERS



Michael Legrand,
*Associate
Controller &
Finance Director
University of
California, Davis*



Lori Schultz,
*Senior Associate
Vice President for
Research
Administration,
University of Texas
at San Antonio*



Elizabeth Peloso,
*Sr. Associate Vice
Provost/Sr.
Associate Vice
President for
Research,
University of
Pennsylvania*

Thank you for your service and commitment to COGR

COGR

Advancing Effective Research Policy

Welcome New COGR Members!

NJIT

New Jersey Institute
of Technology



DRI

Desert Research Institute

Now 227 Member Institutions and 15 Emerging Research Pilot Institutions Strong

COGR

Advancing Effective Research Policy

COGR's Emerging Research Institutions Pilot Program



KENNESAW STATE
UNIVERSITY

GEORGIA
SOUTHERN
UNIVERSITY



Western
University
OF HEALTH SCIENCES



COGR's Advocacy:

Preparing for and Meeting the Moment

- Staff and Board of Directors start Discussing and Planning in Summer 2024
- Staff and Board discussions in Fall
- All Committee and Board members meetings starting in January
- New webpages, resources, and materials
- Recommendations to reduce red tape
- Joint associations' letter to Administration on disruptions, stop work orders, and F&A
- More to come ...



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Advancing Effective Research Policy

Next COGR Meeting:

**June 5-6, 2025 in Washington D.C. at
the Washington Marriott**

Committee Reports & Hot Topics

February 28,
2025

 #COGRFeb25

Committee Chairs:



Jeremy Forsberg,
*Assoc. Vice President for
Research, University of
Texas at Arlington,
Costing and Financial
Compliance (CFC) Chair*



Lisa Mosley, *Executive
Director, Office of
Sponsored Projects, Yale
University, Contracts &
Grants Administration
(CGA) Chair*



Deborah Motton,
*Executive Director,
Research Policy Analysis
& Coordination, U.
California President's
Office, Research Ethics &
Compliance (REC) Chair*



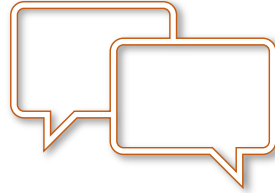
Jennifer Ponting,
*Assoc. Vice President for
Research Administration,
University of Chicago,
Research Security &
Intellectual Property
(RSIP) Chair*

COGR

Announcements



Q&A: Use the QA function (bottom center) to ask questions. Do not use the chat window to ask questions of the panelists.



Chat: Use the chat to engage with other attendees and alert the moderator to any technical issues.



Question didn't get answered? *Send it to memberservices@cogr.edu.*



If this session is recorded, recordings will be made available to attendees within one week of the meeting.



Session slides are posted under meeting materials at www.cogr.edu.

Costing & Financial Compliance (CFC) Committee

Committee Members:



Jeremy Forsberg, U. Texas at Arlington (Chair)

Kimberly Croft, Massachusetts Institute of Technology*

Casey Erickson, University of Washington*

MC Gaisbauer, U. California, Santa Barbara*

Krystina Gross, Washington University in St. Louis*

Vivian Holmes, Massachusetts Institute of Technology

Michael Legrand, U. California, Davis

Nate Martinez-Wayman, Duke University*

Julie Schwindt, University of Alabama*

Jeffrey Silber, Cornell University

Maria Soliman, University of Iowa*

Cindy Hope, COGR Director

**At-large Committee Members*

CFC Hot Topics

New F&A Cost Reimbursement Resources:

- COGR
 - [F&A Cost Rates are NOT a Percent of the Total Award](#) - Graphic
 - [What Everyone Should Know About Indirect Costs](#) - UIDP Guest Blog – 3-Minute Read
- COGR Plus
 - [Understanding the Real Costs of Research](#) - updated 5-minute video
 - [Facilities & Administrative \(F&A\) Costs of Research](#): One Pager
- And More at
 - <https://www.cogr.edu/fa-cost-reimbursement-materials-0>

Administration Transition:

- CFC following, in particular:
 - Payment systems and payment timeliness
 - Impact on F&A cost rate and Fringe Benefit rate review and negotiation
 - Financial impact/burden from stop work orders, terminations, etc.

Other Items:

- [COFFA 2 CFR 200 FAQs](#) – 1/15/2025
 - Unused leave payout at end of employment
- [COFFA Additional Implementation Information](#) January 15, 2025
 - II. Flexibilities for Existing Awards Made Under the Prior Version of the UG

Contracts & Grants Administration (CGA) Committee

Committee Members:



Lisa Mosley, Yale University (Chair)

Maggie Ewell, George Mason University*

Jeffrey Friedland, University of Delaware*

Michael Glasgow, University of Connecticut*

Walter Goldschmidts, Cold Spring Harbor Laboratory*

Stephanie Gray, University of Florida

Charles Greer, U. California, Davis

Twila Reighley, Michigan State University*

Craig Reynolds, Van Andel Institute

Jennifer Rodis, University of Wisconsin-Madison*

Lori Schultz, University of Texas at San Antonio

Pamela Webb, University of Minnesota*

Krystal Touns, COGR Director

**At-large Committee Members*

CGA Hot Topics

Current and Ongoing Issues

- EOs impact
 - Stop work orders/terminations
 - Review panels and funding
 - DEI Certs
 - NCE
 - More?
- UG - Fixed Amount Awards
- Comments in Progress
 - [IFR DOE OTA](#) [Due: 3/4/25]
 - [NSF Research Infrastructure Guide \(RIG\)](#) [3/10/25]

Other issues since October:

- [PAPPG 26-1 Response](#)
- Public Access
 - [Joint Association Response to NIH PID Plan](#)
 - [Joint Association Response on DFARS Case 2020-D028](#)

Other Items:

- Managing Externally Funded Research Programs update - volunteers needed!
- CGA Open House

Poll Question #1

1. **Are you currently receiving any new federal awards?**
 - A. Yes
 - B. No
 - C. I don't know

2. **If yes, from what agency?** (select all that apply)
 - A. NIH
 - B. NSF
 - C. DoD
 - D. Dept of Education
 - E. NASA
 - F. USAID
 - G. USDA
 - H. DOE
 - I. Other (Tell us in the chat!)

Poll Question #2

1. **Are you currently receiving any non-competing continuations?**
 - A. Yes
 - B. No
 - C. I don't know

2. **If, yes from what agency?** (select all that apply)
 - A. NIH
 - B. NSF
 - C. DoD
 - D. Dept of Education
 - E. NASA
 - F. USAID
 - G. USDA
 - H. DOE
 - I. Other (Tell us in the chat!)

Poll Question #3

1. Are you currently receiving responses from agency officials?

- A. Yes
- B. No
- C. I don't know

2. From? (select all that apply)

- A. Programmatic: Program Officer
- B. Award officials: Grants manager
- C. Other (Tell us in the chat!)

Research Security & Intellectual Property (RSIP) Committee

Committee Members:



Jennifer Ponting, University of Chicago (Chair)

Tom Burns, Johns Hopkins University*

Hannah Carbone, California Institute of Technology*

Allen DiPalma, University of Pittsburgh

Sophia Herbert-Peterson, Georgia Institute of Technology

Kelly Hochstetler, University of Virginia*

Michael Moore, Augusta University*

Bruce Morgan, University of California, Irvine*

Dan Nordquist, Washington State University*

Elizabeth Peloso, University of Pennsylvania

Kenneth Porter, University of Maryland*

Todd Sherer, Emory University

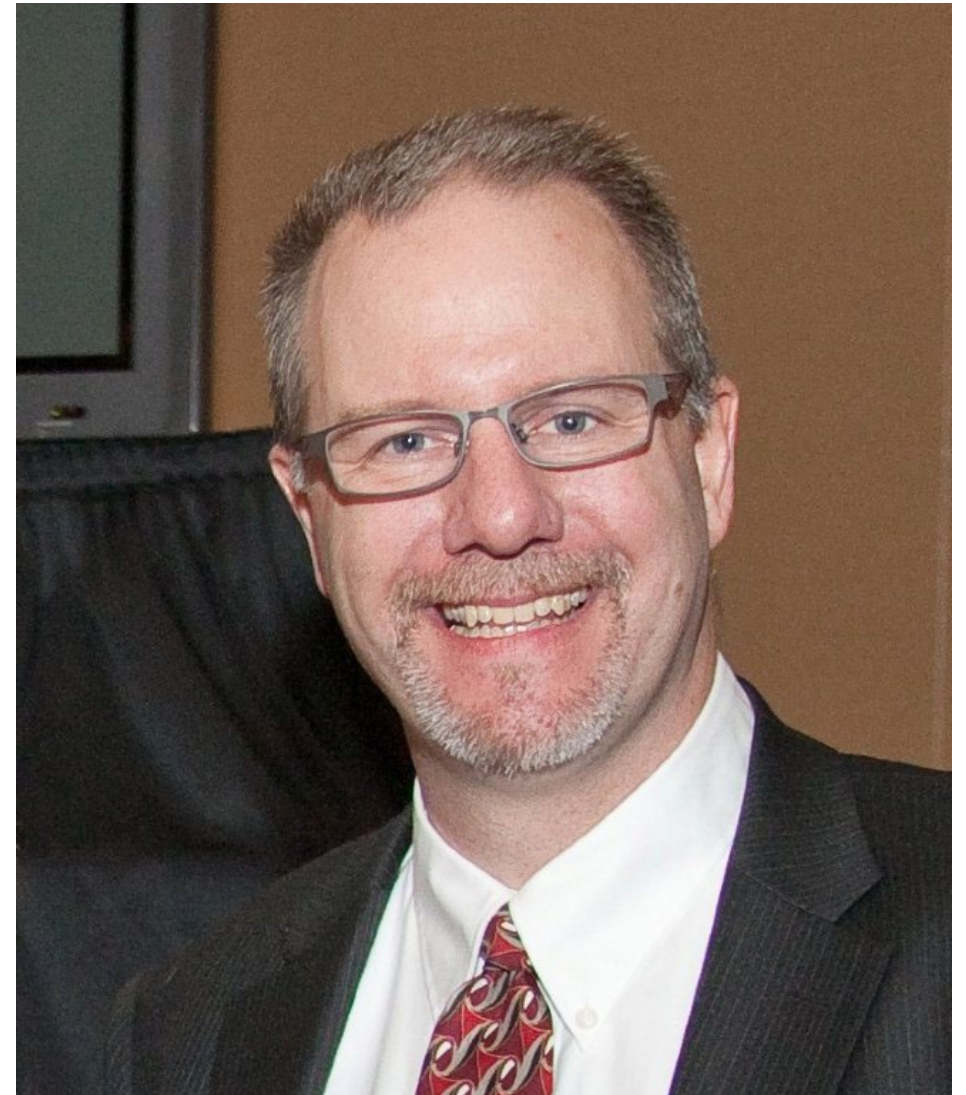
David Winwood, Wake Forest University Health Sciences*

Kevin Wozniak, COGR Director

**At-large Committee Members*

Jennifer Ponting (Chair)	Associate Vice President for Research Administration	University of Chicago
Tom Burns	Associate Vice Provost for Research	Johns Hopkins University
Hannah Carbone	Director for Innovation, Patents, & Licensing	California Institute of Technology
Allen DiPalma	Director, Office of Trade Compliance	University of Pittsburgh
Sophia Herbert-Peterson	Executive Director, Office of Sponsored Programs	Georgia Institute of Technology
Kelly Hochstetler	Associate Vice President for Research Operations	University of Virginia
Michael Moore	Director, Technology Transfer	Augusta University
Bruce Morgan	Associate Vice Chancellor, Research Administration	University of California, Irvine
Dan Nordquist	Associate Vice President for Research	Washington State University
Elizabeth “Missy” Peloso*	Associate Vice Provost/Associate Vice President for Research	University of Pennsylvania
Kenneth Porter	Director, UM Ventures	University of Maryland
Todd Sherer	Associate Vice President for Research	Emory University
David Winwood	Vice President, Technology Transfer & Commercialization	Wake Forest University Health Sciences
Kevin Wozniak	Director	COGR

WISHING YOU A HAPPY RETIREMENT, DAN!



RECENT ADVOCACY AND ACTIVITIES

- Technology Transfer
 - National Science Foundation Proposed IP Options for “Corporate Partnerships”
 - USDA Germplasm Open Access Memorandum
 - GAO Interview on iEdison and Bayh-Dole Requirements
 - Department of Energy Office of Nuclear Energy Memorandum
- Research Security
 - CMMC 2.0 Overview

NEAR TERM ADVOCACY AND ACTIVITIES

- Technology Transfer
 - GAO contacts members regarding “March-in Rights”
 - NIH OIG launches review
 - RSIP community engagement
- Research Security
 - Federal Acquisition Regulation’s CUI Proposed Rule
 - BIS/Export Control

Research Ethics & Compliance (REC) Committee

Committee Members:

 #COGRFeb25

Deborah Motton, University of California, President's Office (Chair)

Kristin Bittinger, Harvard University*

Theresa Colecchia, Johns Hopkins University

Grace Fisher-Adams, California Institute of Technology*

Karen Hartman, Mayo Clinic*

Jennifer Lassner, University of Iowa

Jonathan Miller, University of Alabama, Birmingham*

Stacy Pritt, Texas A&M University*

Brian Smith, University of California, San Francisco*

Geeta Swamy, Duke University

Ara Tahmassian, Harvard University*

Debra Thurley, Pennsylvania State University*

Tracy Wilson-Holden, Case Western University*

Kristin West, COGR Director

**At-large Committee Members*

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REC Activity Updates

- COGR/ARIO Survey re. Final Research Misconduct Rule
 - [Survey report](#)
 - Joint COGR ARIO work group
- [U.S. Government Policy for Oversight of Dual Use Research of Concern and Pathogens with Enhanced Pandemic Potential](#) and [NIH Implementation](#)
 - Continuing work with ABSA. Resources from panelists in DURC PEPP session will be posted with slides.
- [COGR Comments on NSF RFI on CHIPS & Science Act Section 10343](#) on how NSF can incorporate “ethical, social, safety, and security considerations into the agency’s merit review process.”
- [DOJ Final Rule on Preventing Access to U.S. Sensitive Personal Data and Government-Related Data by Countries of Concern or Covered Persons](#)
 - COGR’s Comments helped lead to broader exemptions for some research.

COGR Administration Transition Impact Survey

Results as of March 19, 2025

Survey Demographics as of Feb. 25, 2025

- 81 Complete Responses
 - Note: Depending on survey logic, responders answered different questions.
- 65% Public Institutions; 26% Private Institutions
- Annual federal research expenditures on FY23 HERD Survey:
 - 27% < \$50M
 - 49% -- \$51M-\$499M
 - 24% -- >\$500M
- Geographic Location:
 - NE – 39%
 - SE – 16%
 - SW – 4%
 - Midwest – 19%
 - West – 22%

Payment Pause -- Key Takeaways

- 65% of responders (N=51/79) reported that a federal agency paused, canceled, rejected, ignored, or otherwise failed to pay amounts due under a federally sponsored award (collectively “Pause”).
- Top agencies:
 - NSF
 - USAID
 - HHS
 - “Other” (Dept. of State, Dept. of the Interior)

Financial Impact of Pause (Read each column independently; results rounded to whole numbers)

Amts. Unpaid During Pause		Length of Delay in Days		Amt. Remaining Unpaid at Time of Response		Top Reasons Cited for Pause <small>(check all that apply)</small>
<\$50K:	17% (N=8)	1-5:	31% (N=12)	<\$50K:	48% (N=21)	EOs generally: 70%
\$50K-250K:	20% (N=9)	6-10:	31% (N=12)	\$50-250K:	14% (N=6)	Foreign Aid: 47%
\$251-500K:	4% (N=2)	11-20:	15% (N=6)	\$251-500K:	9% (N=4)	DEI/DEIA/GI: 47%
\$501-1M:	13% (N=6)	20-50:	23% (N=9)	\$501-1M:	4% (N=2)	No reason: 25%
\$1 – 5M:	26% (N=12)	50+:	0%	\$1-5M:	20% (N=9)	Other: 19%
>\$5M:	20% (N=9)			>\$5M:	4% (N=2)	

74% (N=29)
of
responders
used
institutional
funds to
support
research
during the
Pause !

Impact of Pause on Personnel

	# PIs & Co-PIs Associated with Unpaid Awards	# Students & Post-docs Associated with Unpaid Awards	# Other Staff Directly Paid via Impacted Award
1-5 persons	40% (N=15)	48% (N=13)	33% (N=10)
6-10 persons	16% (N=6)	4% (N=1)	10% (N=3)
11-20 persons	8% (N=3)	7% (N=2)	20% (N=6)
20-50 persons	16% (N=6)	15% (N=4)	3% (N=1)
50+ persons	19% (N=7)	26% (N=7)	33% (N=10)

**81% (N=35)
of
responders
DID NOT file
a formal
objection
with an
agency on
one or more
impacted
awards**

Termination of Grants/Contracts -- Key Takeaways

- 69% of responders (N = 54/78) reported that they **DID NOT have** any research contracts or awards terminated for convenience as of the date of their survey response.
- 31% (N = 24/78) reported that **they DID have** a contract, grant, or both terminated for convenience.
- Top Agencies that Terminated Contracts:
 - USAID
 - DHHS (CDC)
- Top Agencies that Terminated Grants:
 - Dept. of Education
 - USAID

Termination of Contracts – Financial Impact

# of Contracts Involved	Balance Remaining on Terminated Contracts	Reason for Termination (check all that apply)
1-5: 83% (10 responders)	<\$50K: 50% (5 responders)	EOs generally: 40% (4 responders)
6-10: 8% (1 responder)	\$50K – 250K: 20% (2 responders)	No reason given: 40% (4 responders)
11-20: 8% (1 responder)	\$1M- 5M: 30% (3 responders)	Foreign Aid: 30% (3 responders)
		DEI/DEIA/GI: 20% (2 responders)
		Other: 10% (1 responder)

89% of responders (N = 8) DID NOT use institutional funds to continue the research supported by the terminated contracts
90% of responders (N=9) did not file a formal objection for any of the impacted contracts

Termination of Grants – Financial Impact

# of Grants Involved		Total Balance Remaining on Terminated Grants		Reason for Termination (check all that apply)	
1-5	93% (N=13)	<\$50K	8% (N=1)	EOs generally	40% (N=6)
11-20	7% (N=1)	\$50K-250K	23% (N=3)	DEI/DEIA/GI	40% (N=6)
		\$251K-1M	16% (N=2)	Foreign Aid	40% (N=6)
		\$1M-\$5M	46% (N=6)	Other	27% (N=4)
		>\$5M	8% (N=1)		

60% (N=6) of institutions DID NOT use institutional funds to continue the research supported by the terminated grants.
80% (N=8) of institutions DID NOT file a formal objection with an agency on any of the impacted grants.

Termination of Grants & Contracts: Personnel Impact

CONTRACTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	91% (N=10)	67% (N=2)	50% (N=3)
6-10	9% (N=1)	0	0
11-20	0	0	17% (N=1)
20-50	0	0	0
50+	0	33% (N=1)	33% (N=2)
GRANTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	71% (N=10)	56% (N=5)	25% (N=2)
6-10	7% (N=1)	22% (N=2)	25% (N=2)
11-20	7% (N=1)	22% (N=2)	12% (N=1)
20-50	14% (N=2)	0	37% (N=3)
50+	0	0	0

Stop Work or Suspension Orders: Key Takeaways

- 55% (N = 43/78) received a stop work/suspension order on a grant, contract, or both.
- Top Agencies that Issued Stop Work or Suspension Orders:
 - Contracts:
 - USAID,
 - Other (Dept. of State, Dept of Labor, NASA)
 - DOEnergy/HHS/NSF – tied for third
 - Grants:
 - USAID
 - Other (Dept. of State, Dept. of Interior, HRSA)
 - NASA

Stop Work Orders for Contracts: Financial Impact

# of Contracts Involved		Balance Remaining on Terminated Contracts		Reason for Termination (check all that apply)	
1-5	82% (N=9)	\$50K-250K	40% (N=4)	EOs generally	67% (N=8)
11-2	9% (N=1)	\$501K-1M	20% (N=2)	Foreign Aid	58% (N=7)
50+	9% (N=9)	\$1M – 5M	20% (N=2)	DEI/DEIA/GI	33% (N=4)
		>\$5M	20% (N=2)		

- 60% (N=6) reported stop work orders applied to entire contract
- 64% (N=7) **DID NOT** use institutional funds to continue the research supported by the impacted contracts
- 91% (N=10) **DID NOT** file a formal objection on any of the impacted contracts

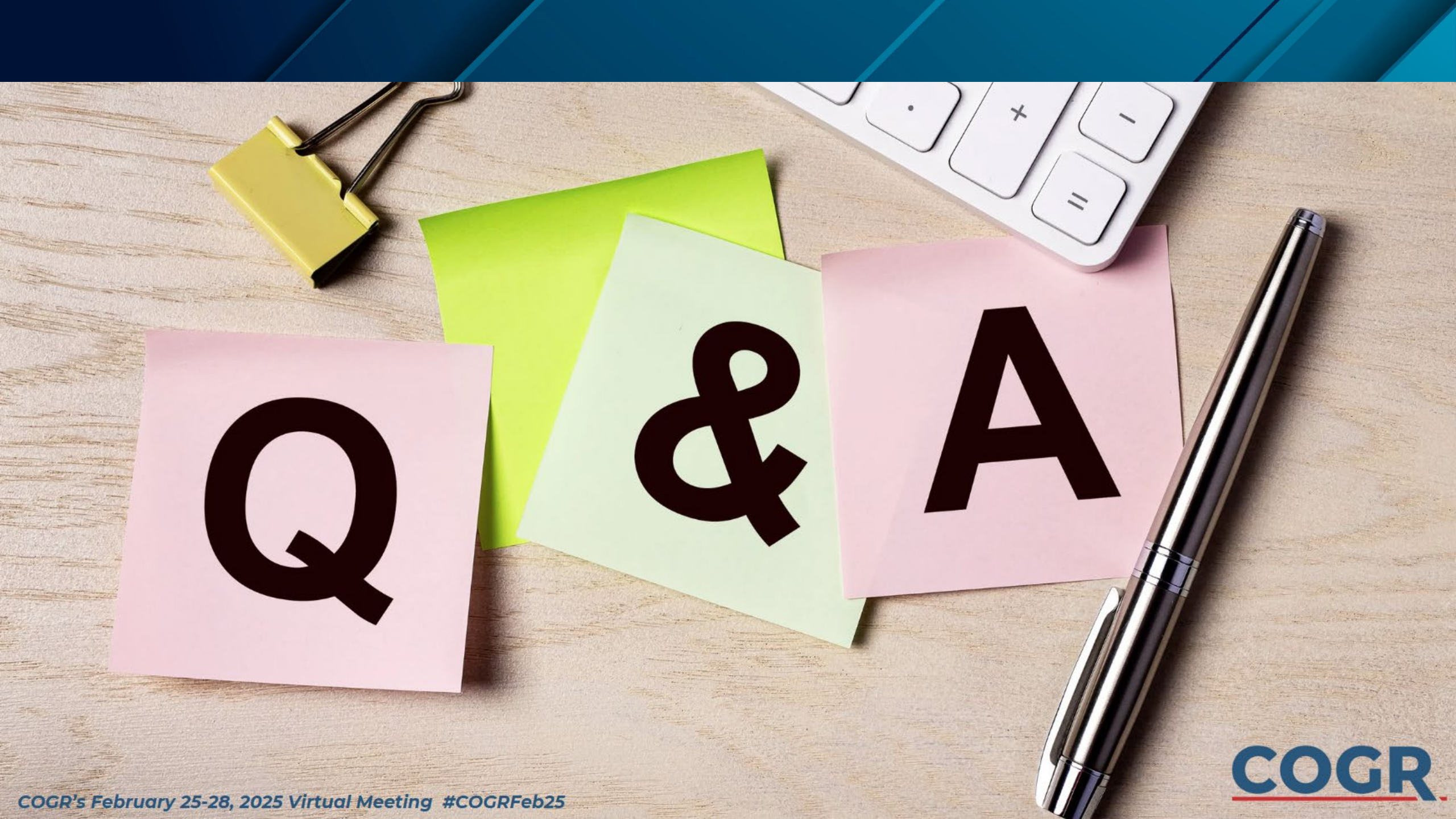
Suspension Orders for Grants: Financial Impact

# of Grants Involved		Balance Remaining on Terminated Grants		Reason for Termination (check all that apply)	
1-5	58% (N=14)	<\$50K	8% (N=2)	EOs generally	72% (N=21)
6-10	8% (N=2)	\$50K-250K	16%(N=4)	DEI/DEIA/GI	69% (N=20)
11-20	17% (N=4)	\$251K-500K	4% (N=1)	Foreign Aid	55% (N=16)
50+	17% (N=4)	\$501K-\$1M	4% (N=1)	Other	17% (N=5)
		\$1M-\$5M	24% (N=6)	No Reason	3% (N=1)
		>\$5M	44% (N=11)		

68% (N=17) of institutions DID NOT use institutional funds to continue research supported by the impacted grants
96% (N=23) DID NOT filed a formal objection on any of the impacted grants

Stop Work & Suspension Orders: Personnel Impacts Contracts and Grants

GRANTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	52% (N=14)	50% (N=9)	33% (N=7)
6-10	7% (N=2)	11% (N=2)	14% (N=3)
11-20	18% (N=5)	11% (N=2)	19% (N=4)
20-50	15% (N=4)	17% (N=3)	5% (N=1)
50+	7% (N=2)	11% (N=2)	29% (N=6)
CONTRACTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	91% (N=10)	71% (N=5)	56% (N=5)
6-10	0	0	22% (N=2)
11-20	0	14% (N=1)	0
20-50	0	0	0
50+	9% (N=1)	14% (N=1)	22% (N=2)



Termination of Grants & Contracts: Personnel Impact

CONTRACTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	90% (N=9)	67% (N=2)	50% (N=3)
6-10	10% (N=1)	0	0
11-20	0	0	17% (N=1)
20-50	0	0	0
50+	0	33% (N=1)	33% (N=2)
GRANTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	69% (N=9)	50% (N=4)	25% (N=2)
6-10	8% (N=1)	25% (N=2)	25% (N=2)
11-20	8% (N=1)	25% (N=2)	12% (N=1)
20-50	15% (N=2)	0	37% (N=3)
50+	0	0	0

Stop Work or Suspension Orders: Key Take-Aways

- 56% (N = 41/73) received a stop work/suspension order on a grant, contract, or both.
- Top Agencies that Issued Stop Work or Suspension Orders:
 - Contracts:
 - USAID,
 - Other (Dept. of State, Dept of Labor, NASA)
 - Dept. of Energy/HHS/NSF – tied for third
 - Grants:
 - USAID
 - Other (Dept. of State, Dept. of Interior, HRSA)
 - NASA

Stop Work Orders for Contracts: Financial Impact

# of Contracts Involved		Balance Remaining on Terminated Contracts		Reason for Termination (check all that apply)	
1-5	82% (N=9)	\$50K-250K	40% (N=4)	EOs generally	67% (N=8)
11-2	9% (N=1)	\$501K-1M	20% (N=2)	Foreign Aid	58% (N=7)
50+	9% (N=9)	\$1M – 5M	20% (N=2)	DEI/DEIA/GI	33% (N=4)
		>\$5M	20% (N=2)		

- 60% (N=6) reported stop work orders applied to entire contract
- 64% (N=11) **DID NOT** use institutional funds to continue the research supported by the impacted contracts

Suspension Orders for Grants: Financial Impact

# of Grants Involved		Balance Remaining on Terminated Grants		Reason for Termination (check all that apply)	
1-5	54% (N=12)	<\$50K	8% (N=2)	EOs generally	71% (N=20)
6-10	9% (N=2)	\$50K-250K	17% (N=4)	DEI/DEIA/GI	68% (N=19)
11-20	18% (N=4)	\$251K-500K	4% (N=1)	Foreign Aid	57% (N=16)
50+	18% (N=4)	\$501K-\$1M	4% (N=1)	Other	18% (N=5)
		\$1M-\$5M	21% (N=5)	No Reason	4% (N=1)
		>\$5M	46% (N=11)		

67% of institutions DID NOT use institutional funds to continue research supported by the impacted grants

Stop Work & Suspension Orders: Personnel Impacts Contracts and Grants

GRANTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	54% (N=14)	53% (N=9)	30% (N=6)
6-10	8% (N=2)	12% (N=2)	15% (N=3)
11-20	15% (N=4)	12% (N=2)	20% (N=4)
20-50	15% (N=4)	12% (N=2)	5% (N=1)
50+	8% (N=2)	12% (N=2)	30% (N=6)
CONTRACTS			
# Persons	PIs & Co-PIs	Students & Post-docs	Other Employees Directly Paid by Award
1-5	91% (N=10)	71% (N=5)	56% (N=5)
6-10	0	0	22% (N=2)
11-20	0	14% (N=1)	0
20-50	0	0	0
50+	9% (N=1)	14% (N=1)	22% (N=2)



Thank you!

**Next COGR Meeting: June 5-6, 2025 in
Washington D.C.**

