The COGR Research Security Phase I Survey: An Update

October 2022 COGR Meeting

PRESENTERS

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First, From Last Time ...
Getting a Handle on the Costs

• PHASE I: Disclosures
  • Collection of information from faculty
    • Systems/processes
    • Training
    • Certifications

• PHASE II: Research Security Programs
  • Cyber Security
  • Foreign Travel Security
  • Export Control Training (as applicable)
  • Research Security Training
Costs for Additional Employees? Yes!
Re-allocation of Duties & Associated Opportunity Costs

Yes!
Substantial IT Costs?

Yes!
One-time & Ongoing Preparation, Training, & Agency-Specific Costs? 

Yes!
To be Released ...

RESEARCH SECURITY: THE COST OF COMPLIANCE
PHASE I REPORT

Results from the Initial Phase of COGR’s Survey on the Costs of Complying with Research Security Disclosure Requirements

November 2022

The projected Year One cost per institution—for institutions of all sizes—is significant and concerning ...
… This figure could range from over $100,000 for small research institutions, to over $400,000 for mid- to large-volume research institutions. While some of these Year One expenses will be one-time costs, a sizable portion are recurring annual research compliance costs. In total, the cost impact to the entire research community for compliance with the Disclosure Requirements in Year One could well exceed $50 million. All institutions will experience significant cost and administrative stress, and the smaller research institutions may face a disproportionate impact.
By “Small” and “Mid to Large”

<table>
<thead>
<tr>
<th># of Surveys</th>
<th>New Hires</th>
<th>Opp. Cost</th>
<th>IT</th>
<th>Prep, Trng</th>
<th>Avg. Cost per Institution</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Small ($15 to $99M)</td>
<td>4</td>
<td>$47,100</td>
<td>$33,300</td>
<td>$12,500</td>
<td>$7,300</td>
</tr>
<tr>
<td>(B) Mid to Large (&gt;= $100M)</td>
<td>22</td>
<td>$140,200</td>
<td>$93,800</td>
<td>$114,800</td>
<td>$67,600</td>
</tr>
</tbody>
</table>

Still in Draft Form
Next Steps

• Final analysis and feedback for Phase I

• Post Final Phase I Report at www.cogr.edu for COGR Membership

• Outreach to OSTP and other federal partners

• Gauge momentum around a wider circulation for Phase I

• Determine approach to Phase II, Research Security Programs