

*The COGR Research
Security Phase I
Survey:
An Update*

October 2022 COGR
Meeting

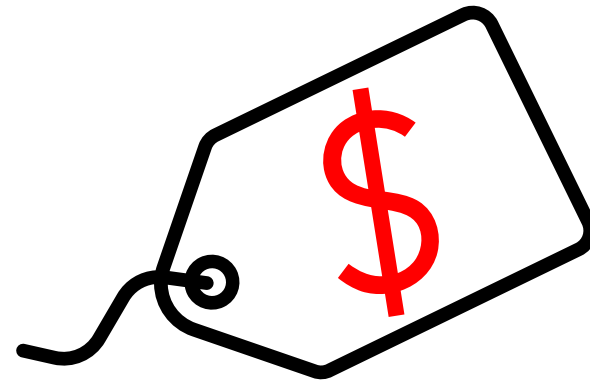
PRESENTERS

***Kristin West, COGR, Research
Ethics & Compliance (REC)***

***David Kennedy, COGR, Costing &
Financial Compliance (CFC)***

First, From Last Time ... Getting a Handle on the Costs

- **PHASE I: Disclosures**
 - Collection of information from faculty
 - Systems/processes
 - Training
 - Certifications
- **PHASE II: Research Security Programs**
 - Cyber Security
 - Foreign Travel Security
 - Export Control Training (as applicable)
 - Research Security Training



We're

HIRING

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Costs for
Additional
Employees?

Yes!



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Re-allocation of Duties & Associated Opportunity Costs

Yes!



Substantial IT Costs?

Yes!



One-time & Ongoing
Preparation,
Training, & Agency-
Specific Costs?

Yes!

To be Released ...

RESEARCH SECURITY: THE COST OF COMPLIANCE PHASE I REPORT

Results from the Initial Phase of COGR's Survey on the Costs of
Complying
with Research Security Disclosure Requirements

November 2022

*The projected Year One cost per institution—for institutions of
all sizes—is significant and concerning ...*

To be Released ...

... This figure could range from over \$100,000 for small research institutions, to over \$400,000 for mid- to large-volume research institutions. While some of these Year One expenses will be one-time costs, a sizable portion are recurring annual research compliance costs. In total, the cost impact to the entire research community for compliance with the Disclosure Requirements in Year One could well exceed \$50 million. All institutions will experience significant cost and administrative stress, and the smaller research institutions may face a disproportionate impact.

By “Small” and “Mid to Large”

	# of Surveys	New Hires	Opp. Cost	IT	Prep, Trng	Avg. Cost per Institution
(A) Small (\$15 to \$99M)	4	\$47,100	\$33,300	\$12,500	\$7,300	\$100,200
<i>Still in Draft Form</i>						
(B) Mid to Large (>= \$100M)	22	\$140,200	\$93,800	\$114,800	\$67,600	\$416,400

Next Steps

- Final analysis and feedback for Phase I
- Post Final Phase I Report at www.cogr.edu for COGR Membership
- Outreach to OSTP and other federal partners
- Gauge momentum around a wider circulation for Phase I
- Determine approach to Phase II, Research Security Programs

