

Quick Reference Table of Current & Upcoming Federal Research Security Requirements

Legend:

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| Requirement Source | Current Requirement or specified compliance date. | Final Requirement in progress | TBD: No information at present as to how requirement will be addressed. |
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| | Biographical & Support Disclosures | Agency Risk Assessment | Financial COI (FCOI) & Conflicts of Commitment (COC) | Training | Certifications | Research Security Program |
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| Sources of Federal-wide Requirements <i>(Agency specific requirements noted below for each agency.)</i> | <p>NSPM-33/ Implementation Guidance and FY 2021 NDAA §223: Sr./key personnel disclosures of research support and activities.</p> <p>Current Status: The Common Disclosure forms were published by the NSTC Group on 11/1/23. On 2/14/24, OSTP published guidance to agencies for use of the forms. The forms include individual certifications for disclosure accuracy and completeness. See agency entries for current agency requirements.</p> <p>CHIPS Act §10339B Institutional foreign financial disclosure report (FFDR) of certain foreign/ gifts contracts to NSF.</p> <p>Current Status: 1st report due 7/31/24 with one-time ext. until 9/3/24. Covered in NSF PAPPG 24-1 and FFDR website.</p> | <p>Addresses how agencies will assess information that is disclosed to them. There is no specific statutory directive for how this assessment must be conducted.</p> <p>Current Status: Departments and/or agencies are developing/have developed risk assessment and mitigation approaches. (See agency entries.).</p> | <p>NSPM-33/Implementation Guidance: Policy for disclosure and institutional review of researcher disclosures to identify and address financial conflicts of interest (FCOI) & conflict of commitment (COC)</p> <p>Current Status: Addressed on agency-by-agency basis. See agency entries. NOTE: With the exception of Dept. of Energy (DOE), agencies address COC via biographical and support disclosures. On 6/18/24, DOE published an proposed rule that would require institutions to also have a stand-alone COC policy. Final rule not issued yet.</p> | <p>NSPM-33/Implementation Guidance and CHIPS Act §10634: Research security training for sr./key personnel.</p> <p>Current Status: See Research Security Program column. Program standards address research security training and training certification.</p> <p>SECURE published condensed training modules that can be used to satisfy trg. requirements. Res. sec. training requirements in effect at DOE & USDA; eff. 10/25 at NSF. NIH added disclosure trg. requirements.</p> | <p>FY 2021 NDAA §223: Covered individuals certify disclosures; institution certifies individuals are made aware of obligations. CHIPS Act §10632 Covered individual & institution certify no participation in malign foreign talent recruitment program. (“MFTP Cert.”) Eff. 8/9/24</p> <p>Current Status: See agency entries.</p> <p>CHIPS Act §10634: Individual and institutional certification re. completion of research security training. (“Training Cert.”) Eff. 8/9/23.</p> <p>Current Status: See Research Security Prog. & Training Columns. Agencies participating in NSTC Group either are, are in the process of, or are expected to use common disclosure forms with individual disclosure & MFTP Certs. See agency entries for current status.</p> | <p>NSPM-33/Implementation Guidance: Requires research security program for institutions with ≥ \$50M annual “federal science and engineering support.” On 7/9/24, OSTP published Guidelines for Research Security Programs at Covered Institutions. See COGR analysis of requirements. Agencies must submit to OSTP a plan to implement Guidelines within 6 months from 7/9/24. Current Status: Cybersecurity standards and foreign travel security training module are still pending. NEW: RESCINDED 9/29/25 NOT 25-161 NIH is requiring for applications submitted on/after Jan. 25, 2026.</p> |

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| DARPA | <ul style="list-style-type: none"> ●DARPA adopted 2023 DOD Countering Unwanted Foreign Influence in Dept.-Funded Research at IHEs and associated Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions (“DOD Policy”). This supersedes the prior DARPA CFIP policy, rubric, and Countering Foreign Influence Program (CFIP) FAQ. ● DARPA uses the Grants.gov Research and Related Senior/Key Person Profile (Expanded) Form and current and pending support and biographical sketch are to be provided as attachments. DARPA uses NSF version of Common Disclosure Forms to provide this information. (See DARPA Proposer Instructions: Grants/Cooperative Agreements). | <ul style="list-style-type: none"> ●DARPA adopted the 2023 DOD Policy, which includes the DOD Component Decision Matrix. ●See DARPA Fundamental Research Risk-Based Security Review Program FAQs. ●See DARPA Fundamental Risk-Based Security Review Process description. ●See DARPA Fundamental Research Risk-Based Security Reviews Policy and Process presentation (Aug. 2024) ●In May 2025, DOD issued a new 2025 Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions. DARPA adopted this matrix. ●DARPA June 2025 memo requires risk assessment for all non-FARS research projects. | <ul style="list-style-type: none"> ●2023 DOD Policy is used for reviewing research proposals for conflicts of interest (COI) and commitment (COC). HOWEVER, the terms COI and COC are not explicitly defined in these documents. ●DARPA utilizes 2025 DOD Matrix. Risk-based sec. rev. required for all fundamental research conducted under non-FARS instruments. | <ul style="list-style-type: none"> ●DARPA may require certain training for specific individuals as part of required risk mitigation. | <ul style="list-style-type: none"> ●Covered Individuals are prohibited from participating in MFTRPs & participants may not receive DARPA funding. DARPA requires certification of no MFTP participation in Common Disclosure Forms. ●2023 DOD Policy decision matrix states that by 8/9/24, institutions must have a policy prohibiting Covered Individuals from participating in MFTRP. 2025 DOD Matrix does not contain this policy requirement, but DARPA Proposer Instructions state that institution must estb. and maintain an internal process or procedure to address MRTRP, COCs, COIs and research integrity. ●Training certification and research security program certification TBD. | TBD |

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| Army Research Lab | ●Disclosure obligations/sources summarized on and in BAA forms site . ●See, also, Army Research Risk Assessment Protection Program (ARRP) FAQs . | ● Risk Matrix Rubric ●ARRP FAQs . ● ARL has not yet adopted either 2023 DOD Policy or 2025 DOD Matrix. | ●COC/COI related disclosures and definitions - see, ARRP FAQs . | ARL may require certain training for specific individuals as part of required risk mitigation. | ●Covered individuals are prohibited from participating in MFTRPs. Certification in disclosure forms. | | TBD |
| | | | | ● Research security trg. is expected to be part of res. sec. program. | ●Training certification and research security program certification TBD. | | |
| DOD | ●Refer to specific DOD funding unit announcements for unit-specific requirements. ●See, generally, 2019 DOD memo re. protection of IP, controlled info., etc. ● See, 2025 Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions ●DOD units expected to use common disclosure forms developed by NSTC Group and some do (e.g., DARPA, NOFO specifications). ●Covered individual participation in MFTRPs is prohibited. Look at component forms to determine if/where | ●2023 DOD Policy , including DOD Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions has been replaced by 2025 Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions (2025 Matrix). ●2025 Matrix states that it is a guide to assist DoD components in conducting COC & COI reviews; document does not mandate adoption by DOD components. | ●Drafting instructions to DOD components re. establishment of COI standards. Will rely heavily on NSPM-33 Implementation Guidance. (See DOD response to GAO) ●Instruction was anticipated 6/1/24 but has not been issued as of 3/19/25. ●No requirement for stand-alone COC policy. | In progress DOD is a member of NSTC Group ● Expected to accept the NSF developed research security training modules. | Disclosures: See individual component forms for certification of completeness and accuracy. | MFTP Consult individual component forms to see if they contain certification of no MFTP participation. | In progress DOD is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of research security program standards. |

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| | certification is included – typically included in disclosure forms. If institution becomes aware of Covered Individual being a participant in MFTRP during project, it must notify DOD within 5 days. | | | | | | |
| DOE | <ul style="list-style-type: none"> FOAs specify use of NSF Common Disclosure Form format for biosketch and current and pending support. See, also, FOA requirements re. disclosures and Transparency of Foreign Connections, (e.g. DE-FOA-0002997): FAL 2022-04 Current and Pending Support Requirements DOE Order 486.1A Foreign Gov. Sponsored or Affiliated Activities FAL 2024-05 requires mandatory use of persistent digital identifiers on biosketches and current and pending support | <ul style="list-style-type: none"> Nov. 26, 2024 DOE memorandum outlines risk assessment process - Research Technology, and Economic Security (RTES) Framework for Financial Assistance & Loan Activities. DOE SBIR/STTR Foreign Risk Mgmt. DOE Science and Technology Risk Matrix (Nat'l Labs) | <ul style="list-style-type: none"> Interim Conflict of Interest Policy. This may be replaced by proposed rule that would require separate, stand-alone COC Policy. But no final rule issued yet. Also, refer to specific FOAs. | <ul style="list-style-type: none"> See FAL 2025-02 Research Security Training Requirements for all R&D Financial Assistance Awards. Research security training required for any NOFO application due after 5/1/25. Trg. must be completed in 12 months preceding the application. Also see background and FAQS. re. research security trg. requirement. | <ul style="list-style-type: none"> Certification of completion of research security training required for covered individuals for any NOFO application due after 5/1/25. | <ul style="list-style-type: none"> Certification of no participation in MFTRP is required at time of application in Current and Pending Support Disclosure form for FY 25. See DOE webpage. DOE Order 486.1A prohibits DOE employees and contractor personnel from participating in FGTRPs. | Covered individuals must complete res. sec. trg. 12 months prior to application and certify completion via C&P Support disclosure cert. May use SECURE consolidates modules or other trg. that applicant certifies meets requirements at 42 USC 19234. See Research Sec. Trg. Requirement webpage . |

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| | documentation by May 2025. ●See, generally, website . | | | | | | |
| EPA | ●NEW: See EPA General Terms and Conditions, Oct. 1, 2024, C&P Support Form, EPA Grantee Forms , ●See, also, 4/13/23 memo re. disclosure of foreign research support . | TBD | ● EPA Final Financial Assistance COI Policy ●No requirement for stand-alone COC policy | TBD | TBD See HHS OIG Sept. 3, 2024 report noting that EPA lacks certification process for no MFTP participation. | | TBD |
| NASA | ●NASA issued a Notice of Information Collection, Request for Comments: Biosketch and Current and Pending Support Disclosure Policy , which adopts Common Disclosure Forms with a few modifications as described in notice. See, also, NASA Mar. 2025 Grants & Coop. Agreements Terms & Conditions (GCATC) , Mar. 2025 Grant & Agreement Manual (GCAM) , & Oct. 2024 Pre and Post-Award Disclosure Table | No research-security specific risk assessment matrix. However, Sec. 19 of the GCAM sets forth an award-specific risk assessment process; Sec. 9.4 sets forth restrictions on NASA funding of foreign research proposals; and Appendix A sets forth certifications re. non-collaboration with China. | ● COI Policy for Recipients of NASA Financial Assistance Awards ●No requirement for stand-alone COC policy. | In progress ●NASA is a member of NSTC Group ●Expected to accept the NSF developed research security training modules. | Training Cert. In progress NASA is a member of NSTC Group which has a common approach to certifications. | MFTP Cert. required in current and pending support disclosure form. | In progress NASA is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of research security program standards. |
| NIST | Current and Pending Support webpage and Current and Pending | See NIST Safeguarding Int'l Science: Research Security | ●See 5/2025 Financial Assistance | ● NIST FAQs state applicants must provide plan stating | ●NIST uses Common Disclosure Form which includes certification of | | ● NIST FAQs state that NIST does not currently require |

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| | Support Form . NIST uses Common Disclosure Form – requires certification of completeness/accuracy and no MFTP participation. | Framework and Research Security FAQs | Ref. Guide for Recipients & 10/2024 Dept. of Commerce Financial Assistance Terms and Conditions ●No requirement for stand-alone COC policy. | how they will address research security training. However, they do not mention research security training certification requirement. | completeness/accuracy and no MFTP participation. | | applicants to demonstrate they have a research security program; however, applicants must provide a written plan describing internal processes for addressing FTRPs, COI, COC, research security training and research integrity. NIST refers to Safeguarding International Science – Research Security Framework w/re to estb. a research security program. |
| NIH | <ul style="list-style-type: none"> ●Biosketch webpage ●Other Support webpage ●NIH SBIR and STTR Foreign Disclosure Pre-award and Post-Award Requirements ●NIH Final Updated Policy Guidance for Subaward Consortium Written Agreement and webpage ●See, also, Foreign Interference webpage ●On 7/31/24, NIH issued NOT-OD-24-163, NIH's Adoption | <ul style="list-style-type: none"> ●NIH Decision Matrix for Assessing Potential Foreign Interference for Covered Individuals or Sr./Key Personnel -includes inappropriate foreign influence risk factors that NIH considers in reviewing applications/awards categorized by factors are prohibited or have required/recommended/suggested mitigation. ●NIH Decision Matrix – Accompanying Blog Post | <ul style="list-style-type: none"> ●42 CFR Part 50, Subpart F ●No requirement for stand-alone COC policy. | NEW: On 9/25/25, NIH issued NOT-OD-25-161 rescinding NOT-OD-25-154 and stated that it will issue updated guidance on the MFTRP certification/research security program/research security training requirements in the future. NIH is working with NSF and other federal agencies to develop resources for each | NEW: On 9/25/25, NIH issued NOT-OD-25-161 rescinding NOT-OD-25-154 and stated that it will issue updated guidance on the MFTRP certification/research security program/research security training requirements in the future. NIH is working with NSF and other federal agencies to develop resources for each | ●NEW: On 9/29/25, NIH issued NOT-OD-25-161 rescinding NOT-OD-25-154 and stated that it will issue updated guidance on the MFTRP certification/research security program/research security training requirements in the future. NIH is working with NSF and other federal agencies to develop resources for each | NEW: On 9/25/25, NIH issued NOT-OD-25-161 rescinding NOT-OD-25-154 and stated that it will issue updated guidance on the MFTRP certification/research security program/research security training requirements in the future. NIH is working with NSF and other federal agencies to develop resources for each |

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| | of Common Forms for Biographical Sketch and Current and Pending (Other) Support by May 25, 2025, but NIH has delayed this implementation date . Current forms include certification of completeness/accuracy. | | | element of the res. security program (note – currently there are no final standards for cybersecurity and foreign travel security). The provisions from NOT-OD-25-154 are shown below in strikeout for reference, but as of now, NIH does not have an identified implementation date for MFTRP/research security training/research security program certifications. NOT-OD-25-54 — Research security training required for sr/key personnel on applications submitted on or after 1/25/26. ● NOT-OD-25-133 , new NIH requirement for trg. sr/key personnel on disclosure requirements. | element of the res. security program (note – currently there are no final standards for cybersecurity and foreign travel security). The provisions from NOT-OD-25-154 are shown below in strikeout for reference, but as of now, NIH does not have an identified implementation date for MFTRP/research security training/research security program certifications. RESCINDED: For applications submitted on or after 1/25/26, NIH will require institution to certify that sr/key personnel have completed research security trg. w/in 12 months prior to application submission. ●At time of application, individuals must also certify that they have completed trg. w/in 12 months prior to | element of the res. security program (note – currently there are no final standards for cybersecurity and foreign travel security). The provisions from NOT-OD-25-154 are shown below in strikeout for reference, but as of now, NIH does not have an identified implementation date for MFTRP/research security training/research security program certifications. Per NOT-OD-25-154, as of Sept. 11, 2025, persons who are a current party to a MFTRP may not serve as sr/key personnel on NIH grant or cooperative agreement. ●RESCINDED - Certifications: NIH will require the following certifications with its implementation of Common Biosketch and Current and | The provisions from NOT-OD-25-154 are shown below in strikeout for reference, but as of now, NIH does not have an identified implementation date for MFTRP/research security training/research security program certifications. RESCINDED: Per NOT-OD-25-154 , Covered Institutions (i.e., receiving federal science and engineering support >\$50M per year) must certify to NIH that institution has and operates a research security program including the following elements: (i) cybersecurity; (ii) foreign travel security; (iii) research security training; (iv) export control training as appropriate. Applications submitted on or after 1/25/26 must |

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| | | | | | <p>application. ●NIH is developing central certification system, but initially AOR will certify via signature on face page and individuals will certify via electronically signed certification attached as a flattened PDF per instructions in NOT OD 25 54.</p> <p>●Annual certification will be made at time of RPPR, initially via electronically signed certification submitted as flattened PDF per instructions in NOT OD 25 54.</p> <p>●Completing the SECURE Ctr. condensed modules is one way to satisfy trg. requirement.</p> <p>Pending Other Support Form: (i) AOR must certify via signature on face page of application that all sr./key personnel in application have been made aware of any complied with their responsibility to certify they are not in a MFTRP; (ii) Individuals must also certify no MFTRP participation on Biosketch.</p> <p>●For RPPRs due on or after 1/25/26, sr./key personnel must annually certify no MFTRP participation.</p> <p>●Certification will initially be done by uploading a certification statement as a flattened PDF per instructions in NOT OD 25 154.</p> | <p>meet this requirement.</p> <p>●NIH will issue more info on central certification system as it is developed.</p> |
| NSF | <p>● Common Disclosure forms published 11/1/2023.</p> <p>●PAPPG 24-1 uses the common forms, which contain</p> | <p>●6/5/24, NSF begins phased implementation of Trusted Research Using Safeguards and Transparency (TRUST) risk assessment/mitigation framework.</p> | <p>●See PAPPG 24-1, Chapt. IX, Part A for COI requirements.</p> <p>●COC is covered by</p> | <p>In progress</p> <p>●NSF has published research security training. modules.</p> <p>● SECURE Ctr. published condensed res. sec. trg.</p> | <p>●MFTP Cert. requirements included in PAPPG 24-1</p> <p>●Individual disclosure and MFTP Certifications are in common disclosure forms.</p> <p>●Eff. 10/10/25 – (a) Sr./key personnel must certify they completed res. sec. trg. w/in 12</p> | <p>In progress.</p> <p>NSF is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of</p> |

| <i>Agency</i> | <i>Disclosures</i> | <i>Agency Risk Assessment</i> | <i>FCOI & COC</i> | <i>Training</i> | <i>Certifications</i> | <i>Research Sec. Program Cert.</i> |
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| | <p>individual disclosure cert.</p> <ul style="list-style-type: none"> ● PAPPG 24-1 addresses institution disclosure to NSF of certain foreign gifts and contracts (Foreign Financial Disclosure Report “FFDR”). First report due July 31, 2024, with one-time ext. until 9/3/24. See FFDR website. ● Important Notice 149: Proposers and recipients must maintain supporting documents for other support and review for compliance NSF requirements. | <ul style="list-style-type: none"> ● 6/23/24, NSF awards grants for <u>S</u>afeguarding the <u>E</u>ntire <u>C</u>ommunity in the <u>U</u>.S. <u>R</u>esearch <u>E</u>nterprise, or “SECURE”) an information sharing and risk assessment type organization for stakeholders. SECURE Center and SECURE Analytics have been established. | C&P (Other) Support requirements. | <ul style="list-style-type: none"> ● Effective 10/1/25, NSF will require research security trg. certification. ● See, also, Research Security Program Standards column. | <p>months prior to proposal cert.; and (b) IHEs must certify they do not have any agreement with a Confucius Institute.(See Important Notice 149).</p> | research security program standards. |
| USDA | <ul style="list-style-type: none"> ● Requires use of Common Disclosure form for Biosketch and C&P Support; references OMB control no. 3145-0279 for forms. ● Entity that employs applicants must provide supporting documentation for C&P support. ● See, July 2025 America First Memorandum for details on Biosketch | No specific research security risk assessment; but July 2025 America First Memorandum calls for USDA to review all arrangements/sub-arrangements with any foreign person/entity or US person/entity subject to foreign ownership, control, influence. | Entity that employs applicant must review all Biosketch and C&P Support supporting documentation for compliance with USDA requirements including guidance on COI and COC. [See July 2025 | Applicants must certify that they have completed res. sec. trg. not more than one yr. prior to date of application and recertify annually. | | |

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| | and C&P Support requirements. | | America First Memorandum]. | | | |