

Quick Reference Table of Current & Upcoming Federal Research Security Requirements

Legend:

Requirement Source	Current Requirement	Final Requirement in Progress	TBD: No information at present as to how requirement will be addressed.
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	Biographical & Support Disclosures	Agency Risk Assessment	Financial COI (FCOI) & Conflicts of Commitment (COC)	Training	Certifications	Research Security Program
Sources of Federal-wide Requirements (Agency specific requirements noted below for each agency.)	NSPM-33/ Implementation Guidance and FY 2021 NDAA §223: Sr./key personnel disclosures of research support and activities. Current Status: The Common Disclosure forms were published by the NSTC Group on 11/1/23. On 2/14/24, OSTP published guidance to agencies for use of the forms. The forms include individual certifications for disclosure accuracy and completeness. See agency entries for current agency requirements. CHIPS Act §10339B Institutional foreign financial disclosure report (FFDR) of certain foreign/ gifts contracts to NSF. Current Status: 1 st report due 7/31/24 with one-time ext. until 9/3/24. Covered in NSF PAPPG 24-1 and FFDR website .	Addresses how agencies will assess information that is disclosed to them. There is no specific statutory directive for how this assessment must be conducted. Current Status: Departments and/or agencies are developing/have developed risk assessment and mitigation approaches. (See agency entries.).	NSPM-33/Implementation Guidance: Policy for disclosure and institutional review of researcher disclosures to identify and address financial conflicts of interest (FCOI) & conflict of commitment (COC) Current Status: Addressed on agency-by-agency basis. See agency entries. NOTE: With the exception of Dept. of Energy (DOE), agencies address COC via biographical and support disclosures. On 6/18/24, DOE published an proposed rule that would require institutions to also have a stand-alone COC policy. Final rule not issued yet.	NSPM-33/Implementation Guidance and CHIPS Act §10634: Research security training for sr./key personnel. Current Status: See Research Security Program column. Program standards address research security training and training certification. NSF published training modules that can be used to satisfy training requirements. NSF PAPPG for FY25 is slated to require research security training. NEW: DOE now has training certification requirement.	FY 2021 NDAA §223: Covered individuals certify disclosures; institution certifies individuals are made aware of obligations. CHIPS Act §10632 Covered individual & institution certify no participation in malign foreign talent recruitment program. (“MFTP Cert.”) Eff. 8/9/24 Current Status: See agency entries. CHIPS Act §10634: Individual and institutional certification re. completion of research security training. (“Training Cert.”) Eff. 8/9/23. Current Status: See Research Security Prog. & Training Columns. Agencies participating in NSTC Group either are, are in the process of, or are expected to use common disclosure forms with individual disclosure & MFTP Certs. See agency entries for current status.	NSPM-33/Implementation Guidance: Requires research security program for institutions with \geq \$50M annual “federal science and engineering support.” On 7/9/24, OSTP published Guidelines for Research Security Programs at Covered Institutions . See COGR analysis of requirements. Agencies must submit to OSTP a plan to implement Guidelines within 6 months from 7/9/24. Current Status: Cybersecurity standards and foreign travel security training module are still pending.

Agency	Disclosures	Agency Risk Assessment	FCOI & COC	Training	Certifications	Research Sec. Program Cert.
DARPA	<ul style="list-style-type: none"> ●DARPA adopted 2023 DOD Countering Unwanted Foreign Influence in Dept.-Funded Research at IHEs and associated Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions (“DOD Policy”). This supersedes the prior DARPA CFIP policy, rubric, and Countering Foreign Influence Program (CFIP) FAQ). ●NEW: DARPA uses the Grants.gov Research and Related Senior/Key Person Profile (Expanded) Form and current and pending support and biographical sketch are to be provided as attachments. DARPA uses NSF version of Common Disclosure Forms to provide this information. (See DARPA Proposer Instructions: Grants/Cooperative Agreements). 	<ul style="list-style-type: none"> ●DARPA adopted the 2023 DOD Policy, which includes the DOD Component Decision Matrix. ●See DARPA Fundamental Research Risk-Based Security Review Program FAQs. ●See DARPA Fundamental Risk-Based Security Review Process description. ●See DARPA Fundamental Research Risk-Based Security Reviews Policy and Process presentation (Aug. 2024) ●NEW: In May 2025, DOD issued a new 2025 Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions. June 2023 Matrix is still posted on DARPA website, but DARPA verbally confirmed that it will adopt 2025 Matrix. 	<ul style="list-style-type: none"> ●2023 DOD Policy is used for reviewing research proposals for conflicts of interest (COI) and commitment (COC). HOWEVER, the terms COI and COC are not explicitly defined in these documents. ●NEW: DARPA verbally confirmed it will use new 2025 DOD Matrix to in evaluating COI and COC. 	<ul style="list-style-type: none"> ●DARPA may require certain training for specific individuals as part of required risk mitigation. ●General research security training is expected to be part of research security program implementation. ●No overall res. security training certification required yet. 	<ul style="list-style-type: none"> ●Covered Individuals are prohibited from participating in MFTRPs & participants may not receive DARPA funding. DARPA requires certification of no MFTP participation in Common Disclosure Forms. NEW: 2023 DOD Policy decision matrix states that by 8/9/24, institutions must have a policy prohibiting Covered Individuals from participating in MFTRP.. However, 2025 DOD Matrix does not contain this policy requirement. ●Training certification and research security program certification TBD. 	TBD
Army Research Lab	<ul style="list-style-type: none"> ●Disclosure obligations/sources summarized on and in BAA forms site. ●See, also, Army Research Risk Assessment Protection Program (ARRP) FAQs. 	<ul style="list-style-type: none"> ●Risk Matrix Rubric ●ARRP FAQs. ●NEW: ARL has not yet adopted either 2023 DOD Policy or 2025 DOD Matrix. 	<ul style="list-style-type: none"> ●COC/COI related disclosures and definitions - see, ARRP FAQs. 	<ul style="list-style-type: none"> ARL may require certain training for specific individuals as part of required risk mitigation. ● Research security trg. is expected to be part of res. sec. program. 	<ul style="list-style-type: none"> ●Covered individuals are prohibited from participating in MFTRPs. Certification in disclosure forms. ●Training certification and research security program certification TBD. 	TBD

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DOD	<ul style="list-style-type: none"> ●Refer to specific DOD funding unit announcements for unit-specific requirements. ●See, generally, 2019 DOD memo re. protection of IP, controlled info., etc. ● NEW: See 2025 Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions ●DOD units expected to use common disclosure forms developed by NSTC Group and some do (e.g., DARPA, NOFO specifications). ●NEW: Covered individual participation in MFTRPs is prohibited. Look at component forms to determine if/where certification is included – typically included in disclosure forms. If institution becomes aware of Covered Individual being a participant in MFTRP during project, it must notify DOD within 5 days. 	<ul style="list-style-type: none"> ●NEW: 2023 DOD Policy, including DOD Component Decision Matrix to Inform Fundamental Research Proposal. Mitigation Decisions has been replaced by 2025 Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions (2025 Matrix). ●NEW: 2025 Matrix states that it is a guide to assist DoD components in conducting COC & COI reviews; document does not mandate adoption by DOD components. 	<ul style="list-style-type: none"> ●Drafting instructions to DOD components re. establishment of COI standards. Will rely heavily on NSPM-33 Implementation Guidance. (See DOD response to GAO) ●Instruction was anticipated 6/1/24 but has not been issued as of 3/19/25. ●No requirement for stand-alone COC policy. 	In progress DOD is a member of NSTC Group <ul style="list-style-type: none"> ● Expected to accept the NSF developed research security training modules. 	Disclosures: See individual component forms for certification of completeness and accuracy.	MFTP Consult individual component forms to see if they contain certification of no MFTP participation..	In progress DOD is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of research security program standards.
DOE	<ul style="list-style-type: none"> ●NEW: FOAs specify use of NSF Common Disclosure Form format for biosketch and current and pending support. See, also, FOA requirements re. disclosures and Transparency of Foreign Connections, (e.g. DE-FOA-0002997): ●FAL 2022-04 Current and Pending Support Requirements ●DOE Order 486.1A Foreign Gov. Sponsored or Affiliated Activities ●FAL 2024-05 requires mandatory use of persistent digital identifiers on biosketches and current and pending support documentation by May 2025. ●See, generally, website. 	<ul style="list-style-type: none"> ●NEW: Nov. 26, 2024 DOE memorandum outlines risk assessment process - Research Technology, and Economic Security (RTES) Framework for Financial Assistance & Loan Activities. ●DOE SBIR/STTR Foreign Risk Mgmt. ●DOE Science and Technology Risk Matrix (Nat'l Labs) 	<ul style="list-style-type: none"> ●Interim Conflict of Interest Policy. This may be replaced by proposed rule that would require separate, stand-alone COC Policy. But no final rule issued yet. ●Also, refer to specific FOAs. 	<ul style="list-style-type: none"> ●NEW: See FAL 2025-02 Research Security Training Requirements for all R&D Financial Assistance Awards. Research security training required for any NOFO application 	NEW: Certification of completion of research security training required for covered individuals for any NOFO application due after 5/1/25.	<ul style="list-style-type: none"> ●NEW: Certification of no participation in MFTRP is required at time of application in Current and Pending Support Disclosure form for FY 25. See DOE webpage. ●DOE Order 	NEW: In progress DOE states that research security programs requirements are forthcoming. See Research Sec. Trg. Requirement webpage .

Agency	Disclosures	Agency Risk Assessment	FCOI & COC	Training	Certifications		Research Sec. Program Cert.
				due after 5/1/25. Trg. must be completed in 12 months preceding the application. ● NEW: Also see background and FAQs . re. research security trg. requirement.		486.1A prohibits DOE employees and contractor personnel from participating in FGTRPs.	
EPA	● NEW: See EPA General Terms and Conditions, Oct. 1, 2024, C&P Support Form, EPA Grantee Forms , ●See, also, 4/13/23 memo re. disclosure of foreign research support .	TBD	● EPA Final Financial Assistência COI Policy ●No requirement for stand-alone COC policy	TBD	TBD (NEW: See HHS OIG Sept. 3, 2024 report noting that EPA lacks certification process for no MFTP participation.)		TBD
NASA	●NASA issued a Notice of Information Collection, Request for Comments: Biosketch and Current and Pending Support Disclosure Policy , which adopts Common Disclosure Forms with a few modifications as described in notice. NEW: See, also, NASA Mar. 2025 Grants & Coop. Agreements Terms & Conditions (GCATC) , Mar. 2025 Grant & Agreement Manual (GCAM) , & Oct. 2024 Pre and Post-Award Disclosure Table	NEW: No research-security specific risk assessment matrix. However, Sec. 19 of the GCAM sets forth an award-specific risk assessment process; Sec. 9.4 sets forth restrictions on NASA funding of foreign research proposals; and Appendix A sets forth certifications re. non-collaboration with China.	● COI Policy for Recipients of NASA Financial Assistance Awards ●No requirement for stand-alone COC policy.	In progress ●NASA is a member of NSTC Group ●Expected to accept the NSF developed research security training modules.	Training Cert. In progress NASA is a member of NSTC Group which has a common approach to certifications.	NEW: MFTP Cert. required in current and pending support disclosure form.	In progress NASA is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of research security program standards.
NIST	NEW: See, Current and Pending Support webpage and Current and Pending Support Form . NIST uses Common Disclosure Form – requires certification of completeness/accuracy and no MFTP participation.	NEW: See NIST Safeguarding Int'l Science: Research Security Framework and Research Security FAQs	● NEW: See 5/2025 Financial Assistance Ref. Guide for Recipients &	NEW: NIST FAQs state applicants must provide plan stating how they will	NEW: NIST uses Common Disclosure Form which includes certification of completeness/accuracy and no MFTP participation.		NEW: NIST FAQs state that NIST does not currently require applicants to demonstrate they

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			10/2024 Dept. of Commerce Financial Assistance Terms and Conditions •No requirement for stand-alone COC policy.	address research security training. However, they do not mention research security training certification requirement.			have a research security program; however, applicants must provide a written plan describing internal processes for addressing FTRPs, COI, COC, research security training and research integrity. NIST refers to Safeguarding International Science – Research Security Framework w/re to estb. a research security program.
NIH	• Biosketch webpage • Other Support webpage • NIH SBIR and STTR Foreign Disclosure Pre-award and Post-Award Requirements • NIH Final Updated Policy Guidance for Subaward Consortium Written Agreement and webpage •See, also, Foreign Interference webpage NEW: •On 7/31/24, NIH issued NOT-OD-24-163 , NIH's Adoption of Common Forms for Biographical Sketch and Current and Pending (Other) Support by May 25, 2025, but NIH has delayed this implementation date . Current	• NIH Decision Matrix for Assessing Potential Foreign Interference for Covered Individuals or Sr./Key Personnel -includes inappropriate foreign influence risk factors that NIH considers in reviewing applications/awards categorized by factors are prohibited or have required/recommended/suggested mitigation. • NIH Decision Matrix – Accompanying Blog Post	•42 CFR Part 50, Subpart F •No requirement for stand-alone COC policy.	In progress •NIH is a member of NSTC Group •Expected to accept the NSF developed research security training modules.	Training cert. In progress NIH is a member of NSTC Group, which has a common approach to certifications.	MFTP Cert. in progress Common disclosure forms that NIH will include this certification. May 2025 adoption date has been postponed.	In progress NIH is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of research security program standards.

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	forms include certification of completeness/accuracy.						
NSF	<ul style="list-style-type: none">● Common Disclosure forms published 11/1/2023.● PAPPG 24-1 uses the common forms, which contain individual disclosure cert.● PAPPG 24-1 addresses institution disclosure to NSF of certain foreign gifts and contracts (Foreign Financial Disclosure Report “FFDR”). First report due July 31, 2024, with one-time ext. until 9/3/24. See FFDR website.	<p>NEW:</p> <ul style="list-style-type: none">● 6/5/24, NSF begins phased implementation of Trusted Research Using Safeguards and Transparency (TRUST) risk assessment/mitigation framework.● 6/23/24, NSF awards grants for Safeguarding the Entire Community in the U.S. Research Enterprise, or “SECURE”) an information sharing and risk assessment type organization for stakeholders. NEW: SECURE Center and SECURE Analytics have been established.	<p>In progress</p> <ul style="list-style-type: none">● NSF has published research security training. modules● PAPPG 24-1 does not include research security training certification requirement. Anticipated in 2025 PAPPG.● See, also, Research Security Program Standards column.		<ul style="list-style-type: none">● MFTP Cert. requirements included in PAPPG 24-1● Individual disclosure and MFTP Certifications are in common disclosure forms.		<p>In progress.</p> <p>NSF is a member of NSTC Group and is expected to provide its plan to OSTP for implementation of research security program standards.</p>